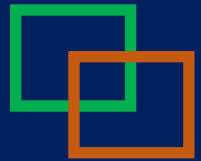


Microwave Photonics



Lasers & Its Applications



Lecture #01

Fundamentals of Laser

Bikash Nakarmi

Outlines

Chapter I. Basics of Photonics & Laser

Chapter II. Types of Lasers & Output Characteristics

Chapter III. FPLD and operating Principle

Chapter IV. Lasers & Applications

**Digital Photonics
Robust Radar Signal Generation
RADAR Interference and Imaging**

Term paper presentation

Reference Books & Evaluation

1. **Laser Electronics** by Joseph T. Verdeyen
2. **Optical Fiber communication** by keiser
3. **Selected journals**

Class Participation	20%
Assignments	25%
Quiz & Exam	35%
Paper Presentation	20%

Lecture #01

Fundamentals of Laser

Information and Communication

Voice, Data, or Video traffic (Multimedia)



Broadband signals
(100 Mbit/s)

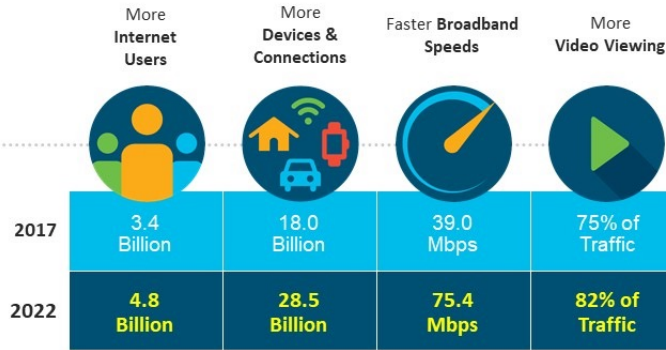
anyone
anytime
anywhere



Global Internet Growth and Trends

Key Digital Transformers

By 2022

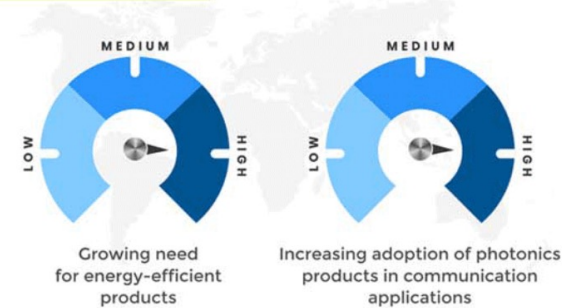


Source: Cisco VNI Global IP Traffic Forecast, 2017–2022

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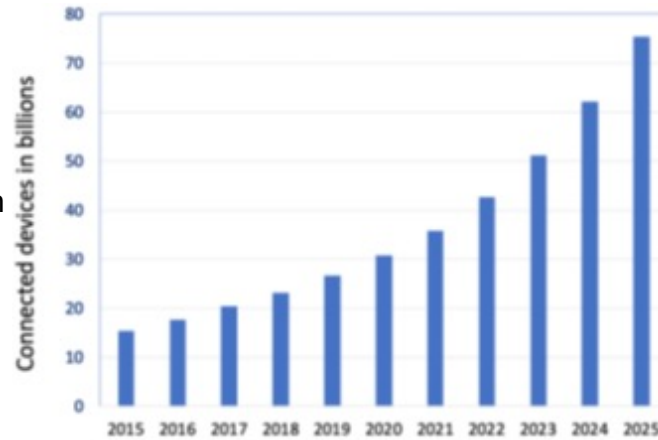


DRIVERS FOR PHOTONICS MARKET: IMPACT ANALYSIS

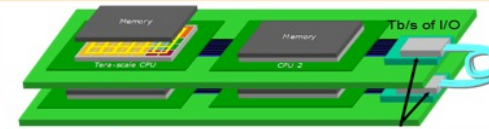


Driving forces:

- ❖ The "electronic bit-rate bottleneck"
- ❖ The demand for more bandwidth
- ❖ Power Limitations
- ❖ Widespread deployment of Dense Wavelength Division Multiplexing

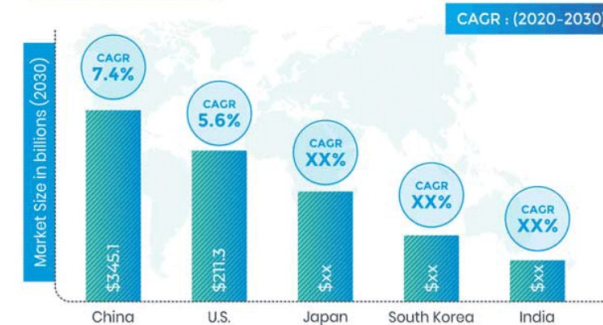


Bandwidth Issue at I/O for Tera-scale Servers



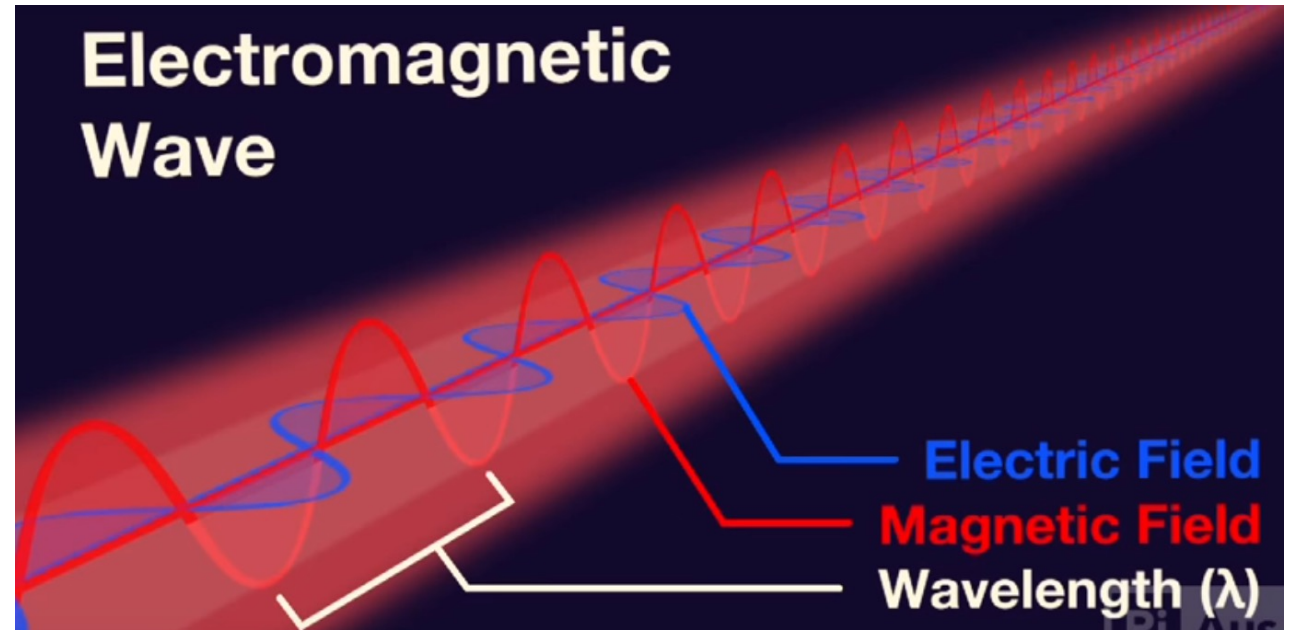
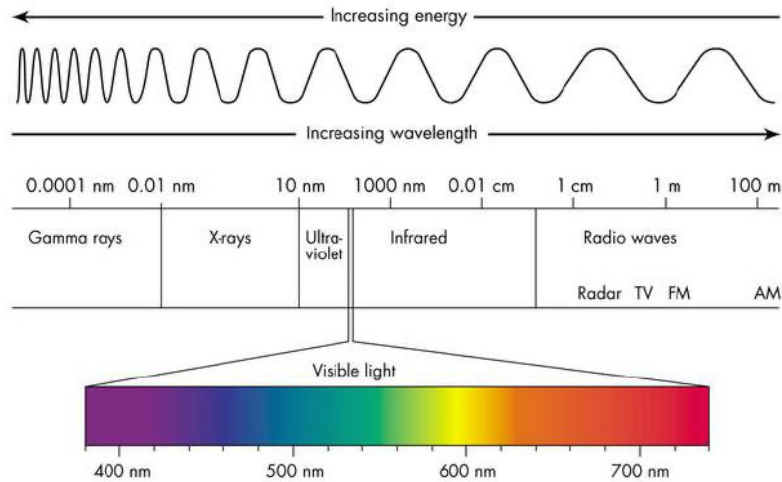
Integrated Tb/s Optical Chip?

MAJOR MARKETS FOR PHOTONICS



Photonics

What is light?



Increasing wavelength decreasing energy.

$$E = h\nu = \frac{hc}{\lambda}$$

E = Energy of a single photon

$h = 6.626 \times 10^{-34} \text{ J}\cdot\text{s}$ (Planck's constant)

ν = frequency (Hz)

λ = wavelength (m)

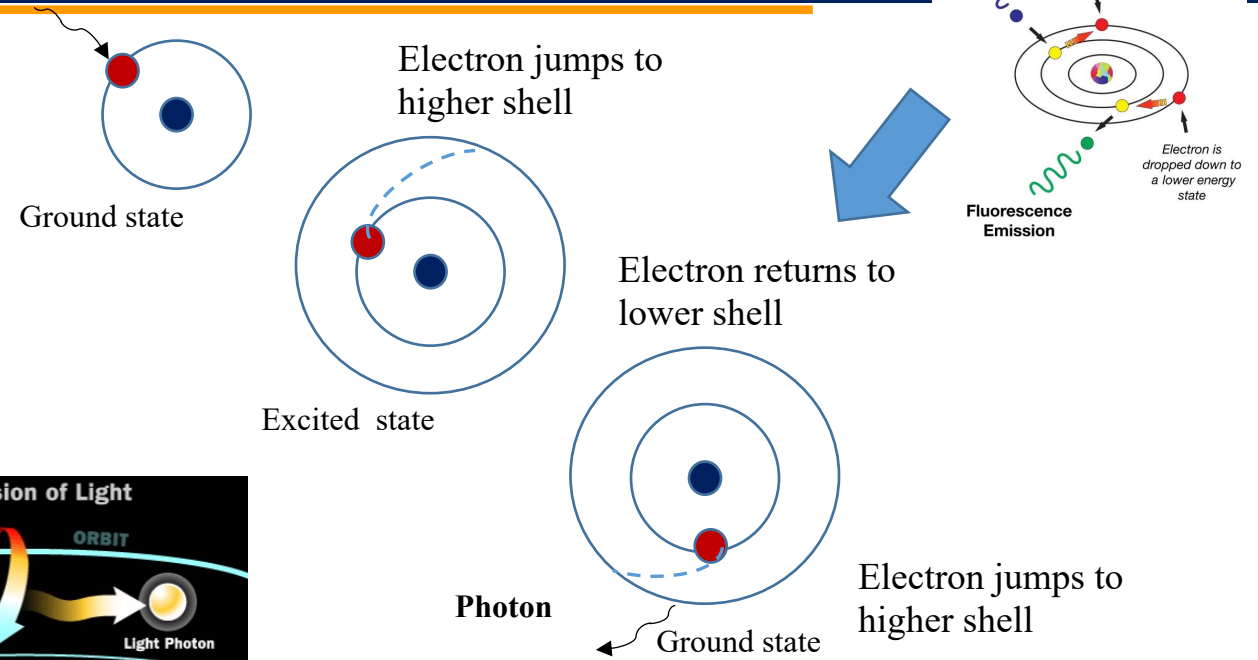
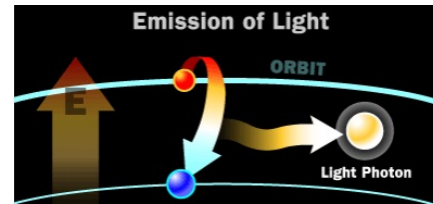
$c = 2.998 \times 10^8 \text{ m/s}$ (speed of light)

Each photon have discrete energy which is shown by the equation. Photons are the fundamental building blocks of light

Photonics

Photonics: "Photonics" comes from "photon"
 --the smallest unit of light.
 --packet of electromagnetic energy to perform various functions in information processing systems.

"Photonics is the generation, process and manipulation of photon to achieve a certain function.

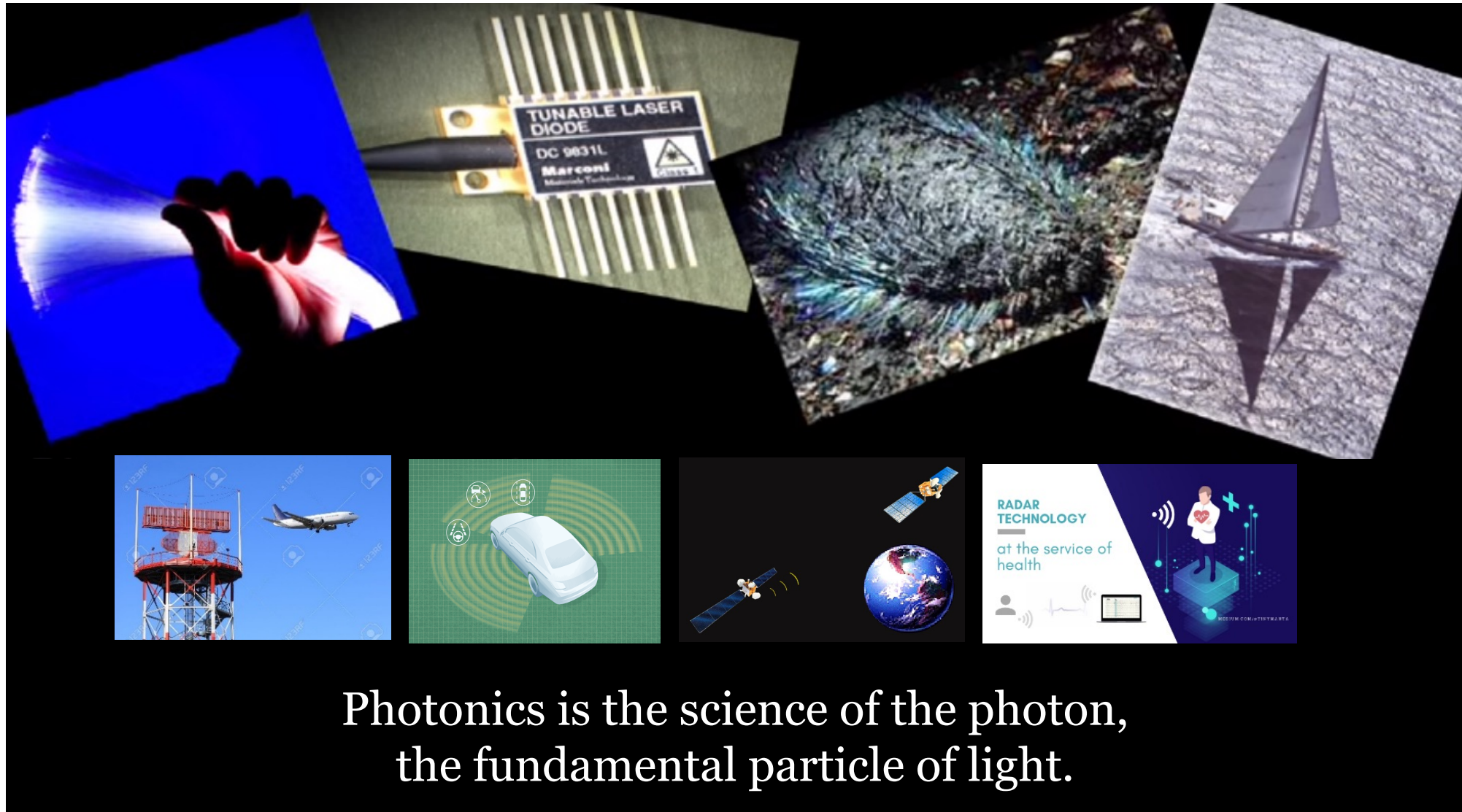


How photon produce

Four Basic Elements of Electronics and Photonics

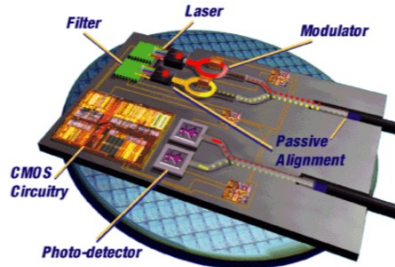
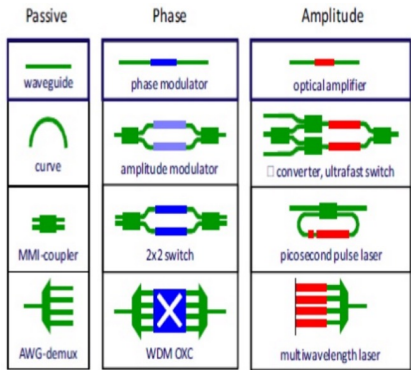
Electronics	Photonics
electrons as carrier vectors	carrier vectors can be photons, solitons, light balls, or plasmons
the generators	Lasers and spasers
transistors	plasmonsters and optical transistors
electrical cables and circuits	optical wave guides and optical fibers act as the transport cables

Photonics in Action



Photonics is the science of the photon,
the fundamental particle of light.

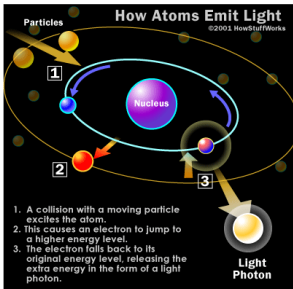
How Photonics is used



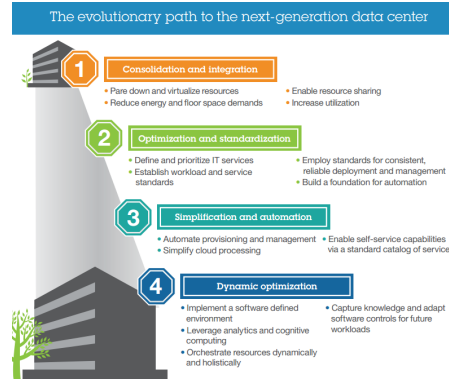
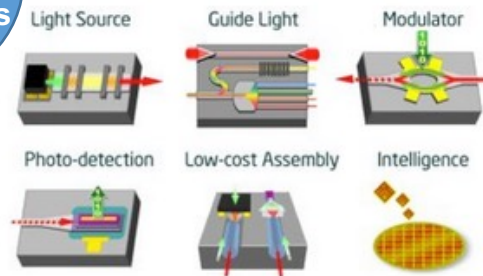
Integration and system design

Silicon photonics and electronics

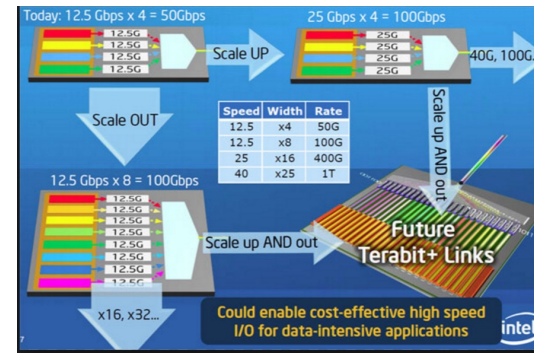
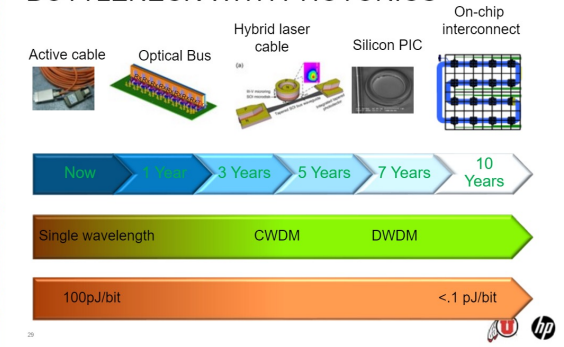
Sources and optical components



Generation of Light Photon



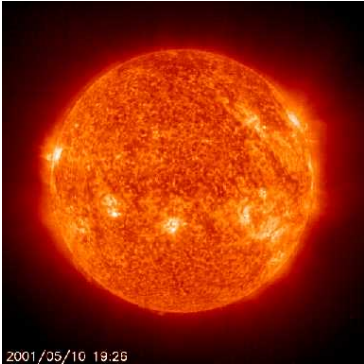
TACKLING THE BANDWIDTH BOTTLENECK WITH PHOTONICS



Huawei and Intel collaborate on 100 G switch

Integrated Photonics system in networking, computing, data centre, sensors

Sources of Light



Hot Objects



Natural Light Sources



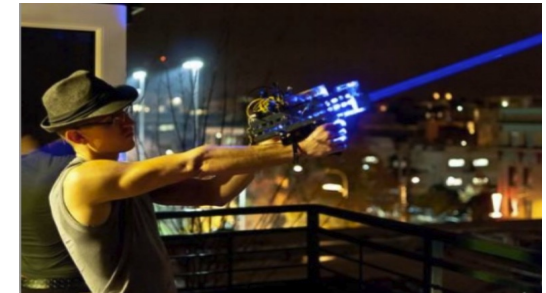
Mostly used for light purposes



LED

Artificial Light Sources

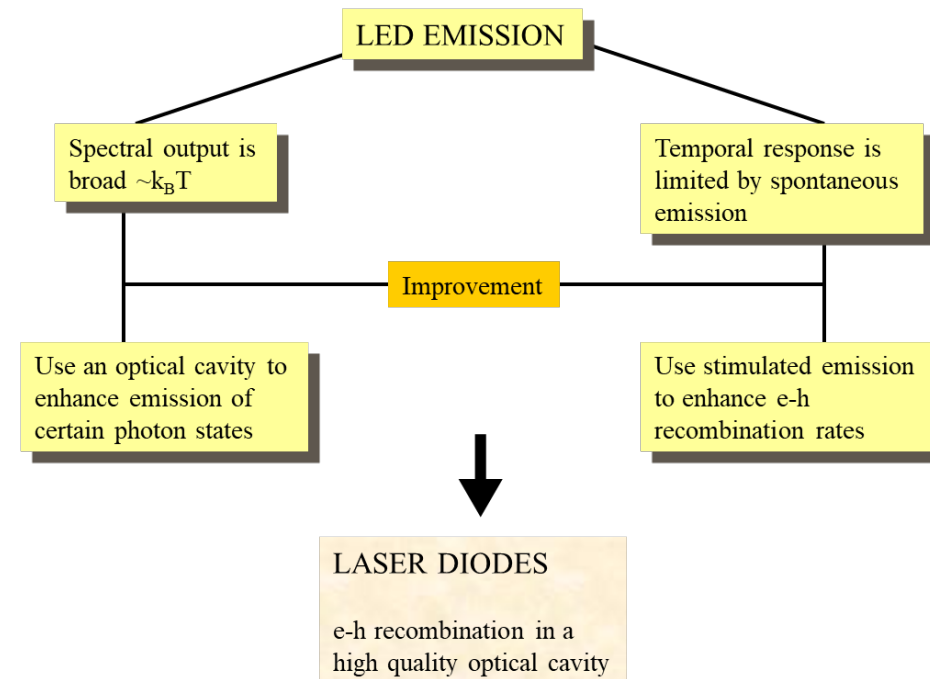
Semiconductor devices that emits visible light when an electric current passes through it.



Light Amplified by Stimulation Emission of Radiation, LASER

Sources of Light

	LED	LD
Beam generation	Spontaneous Emission	Stimulated Emission
Beam Directivity	No	Yes
Spectrum Width	40nm	0.01nm (single mode)
Response Time	few nsec (Not appropriate for high speed transmission)	0.01nsec
Driving Circuit	Simple	Complex, Temp Cont required, expensive
Application	Short reach and small band comm.	Long haul and broadband Transmission



Light Amplified by Stimulation Emission of Radiation, **LASER**

Laser Fundamentals

Why

Light Amplified by Stimulation Emission of Radiation, **LASER** ?

- Lasers have unique Properties
- Created many devices
- Improved existing devices

Laser Fundamentals: **Examples of laser applications**

Industrial



Automotive



Consumer



Bio-Medical



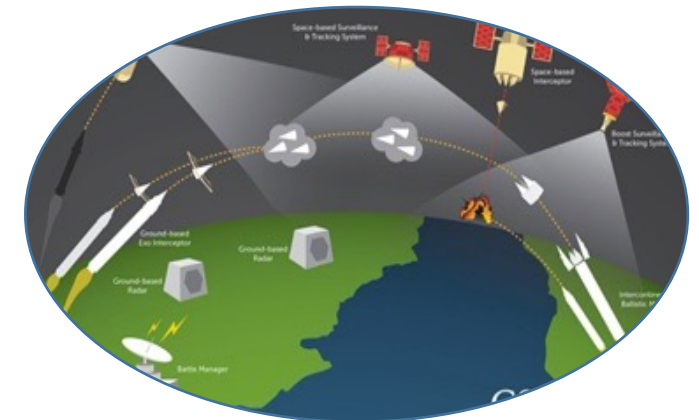
High Energy Physics and Science



Analytical



Defense

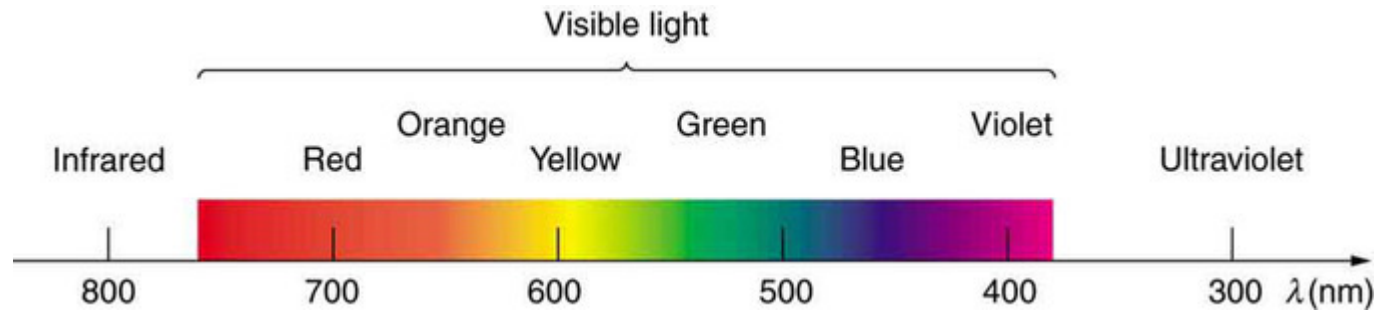


Courtesy: Hamatsu and CSIS defense project

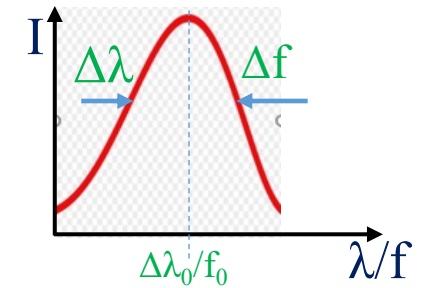
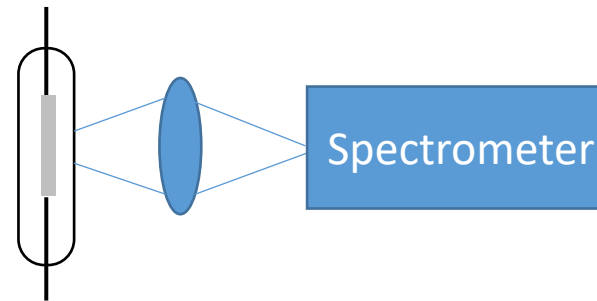
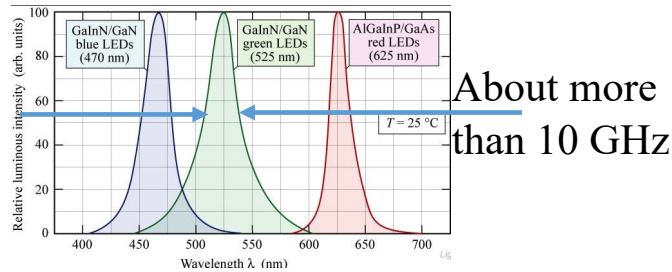
Laser Fundamentals: **Property of Laser**

1. High Monochromaticity / Narrow Spectral Width/ High Temporal Coherence

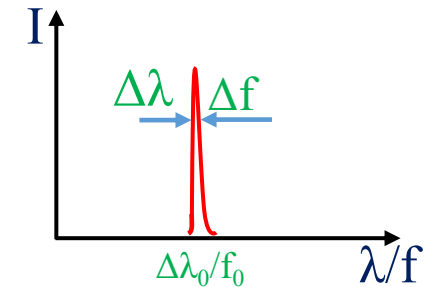
Visible Range of Light



Spectrum Lamp

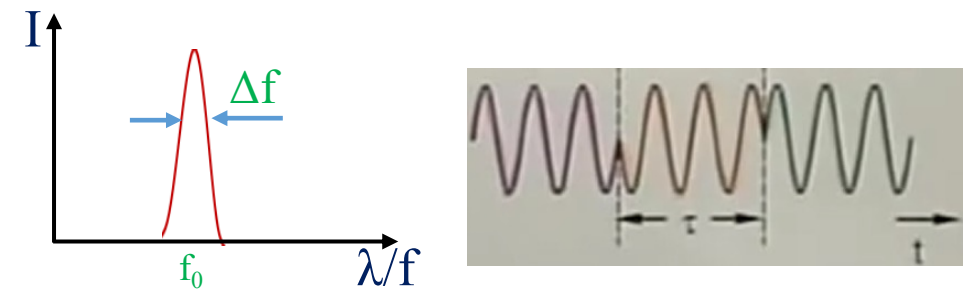
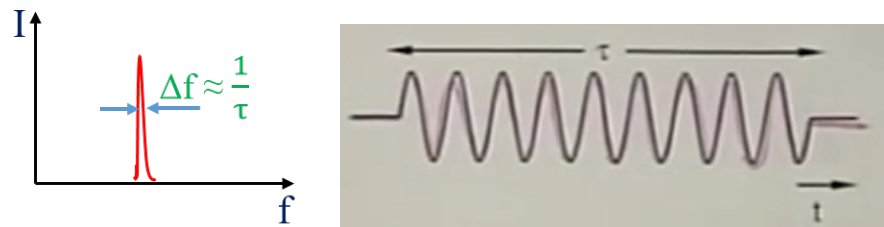
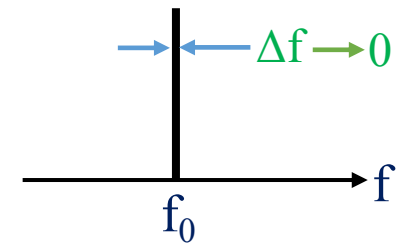
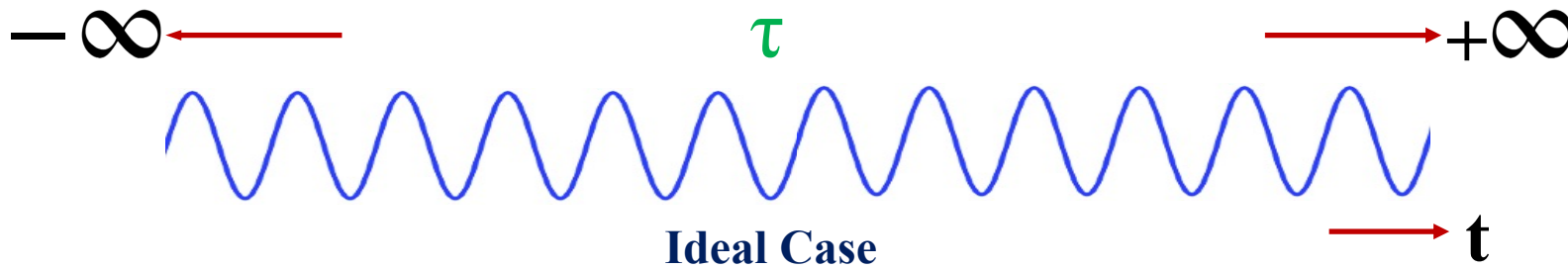


Laser

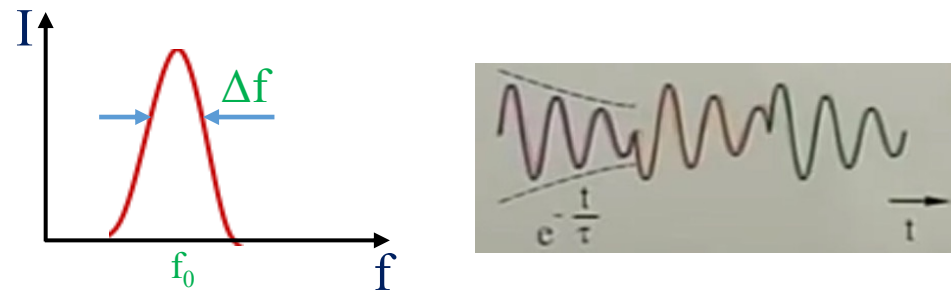


Laser Fundamentals: **Property of Laser**

High Monochromaticity / Narrow Spectral Width / **High Temporal Coherence**



In real scenario



Laser Fundamentals: **Property of Laser**

High Temporal Coherence

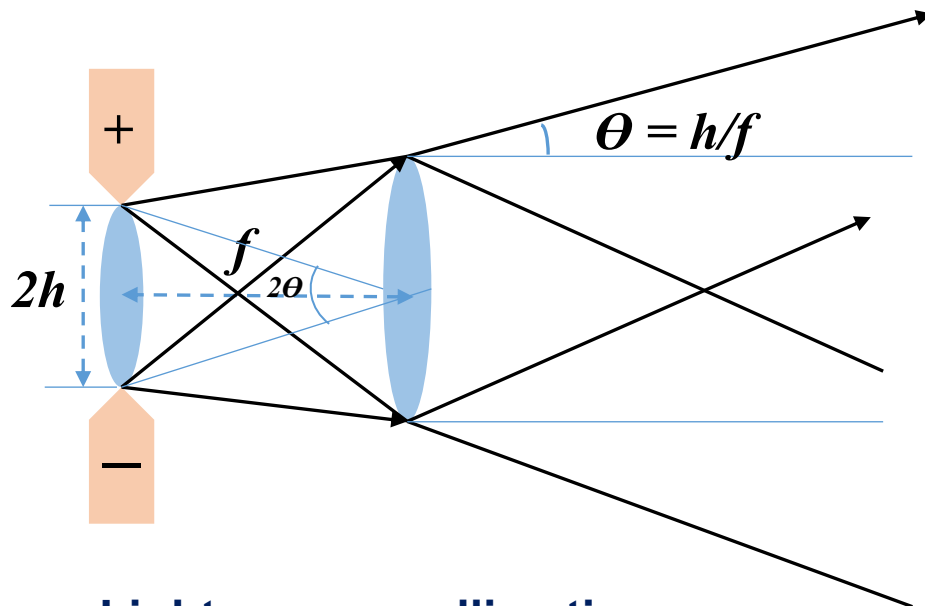
- Radiation time without phase interruption is very high τ very long
- $\Delta f \approx 1/\tau$ is very small
- Can **predict amplitude and phase** at any time at a given position

Application of narrow spectral width

- Communication
- Spectroscopy
- Interferometry
- Holography
- Sensors

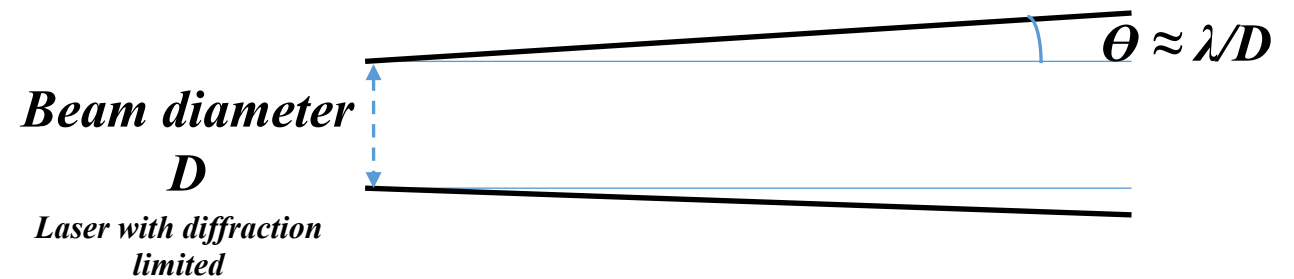
Laser Fundamentals: **Property of Laser**

2. **Highly Collimated (Diffraction limited) / Very small focused spot/ High Spatial Coherence**



Light source collimation
Depends on h and f

Coherence



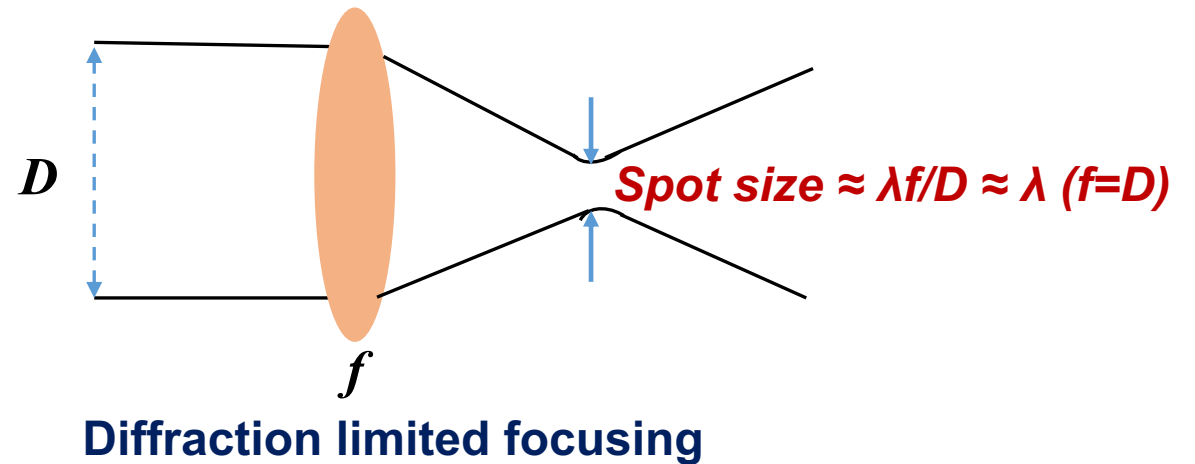
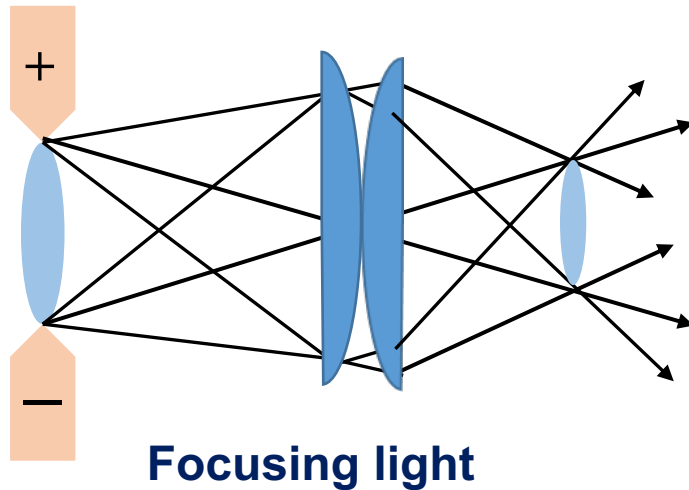
Diffraction-limited collimation

- **No dependence on the source size**
due to basic physics of electromagnetics radiation
- **only with λ and D**

Applications: Alignment, bar code readers, communication, radar

Laser Fundamentals: **Property of Laser**

Highly Collimated (Diffraction limited) / **Very small focused spot**/ High Spatial Coherence

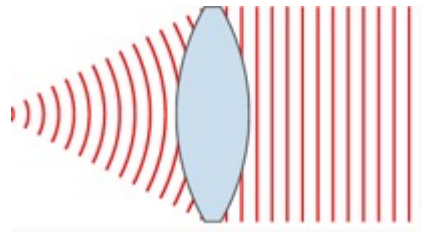
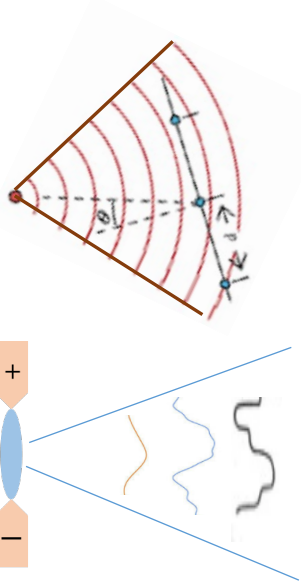


Applications: compact discs, laser printers, material processing, surgery

Laser Fundamentals: **Property of Laser**

Highly Collimated (Diffraction limited) / Very small focused spot/ **High Spatial Coherence**

- Wave well behaved in space
- Can predict amplitude and phase at any position at a given time



Temporal Coherence	Spatial coherence
Concerned with phase correlation of waves at a <i>given point in space at two different instant of time</i>	Concerned with the phase correlation of <i>two different points across a wave front at a given instant of time</i>
Coherence related with <i>time</i>	Coherence related with <i>position</i>
<i>Longitudinal</i> coherence	<i>Transverse</i> coherence
Related to <i>frequency bandwidth of the source</i>	Related to the <i>size of the source</i>

Laser Fundamentals: **Property of Laser**

3. High Power

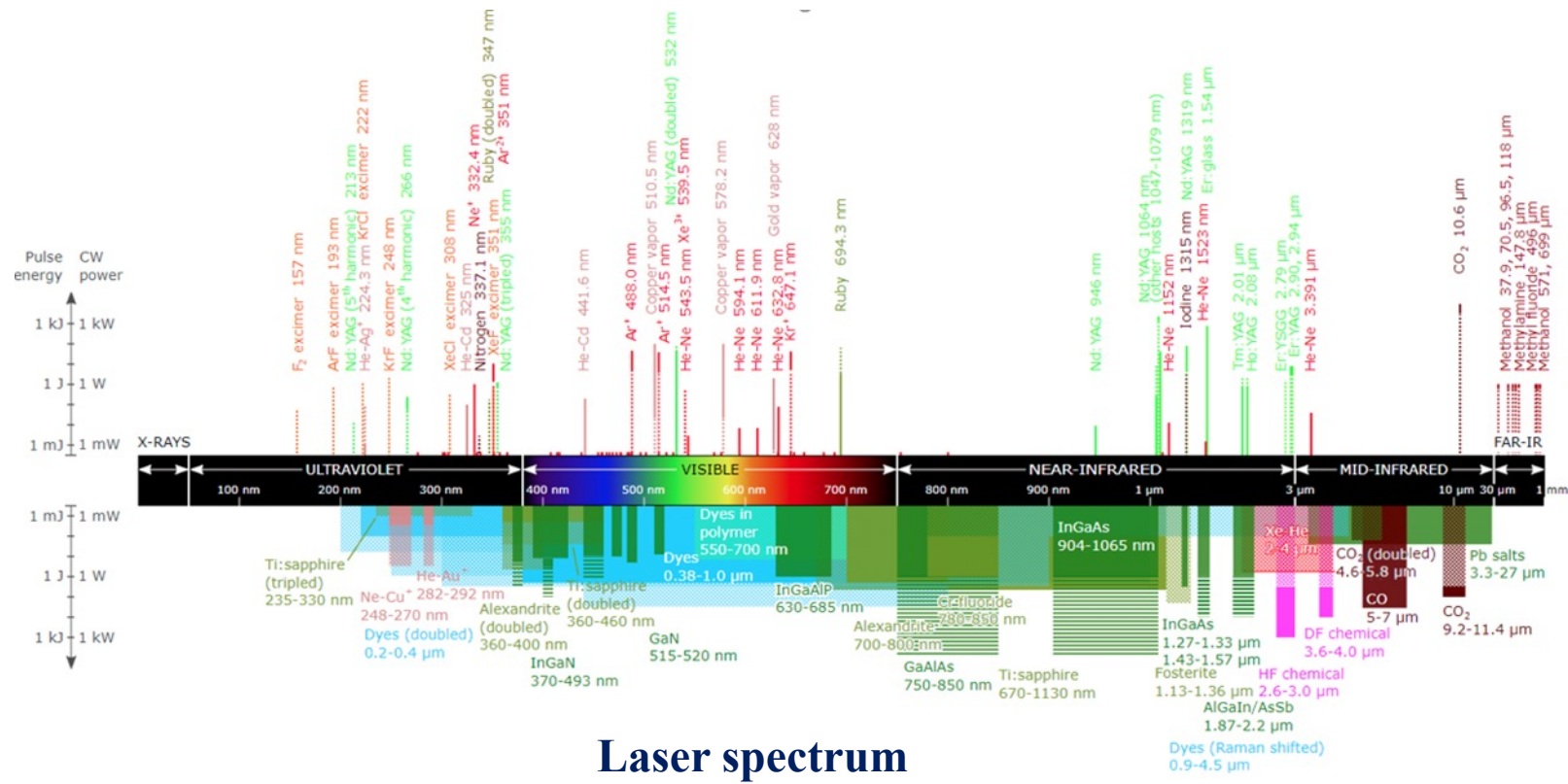
- **CW or PULSED**
- **CW laser power can power from milliwatt to megawatt**
- **Pulsed laser can go from Giga watt to exawatt**



Applications: Material processing, Fusion, Military, Nonlinear optics, and more

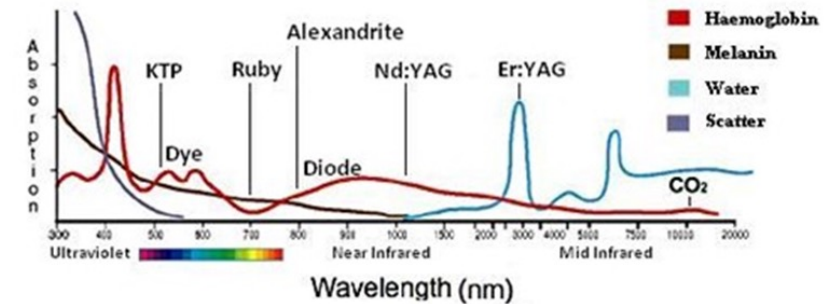
Laser Fundamentals: Property of Laser

4. Wide Tuning Range



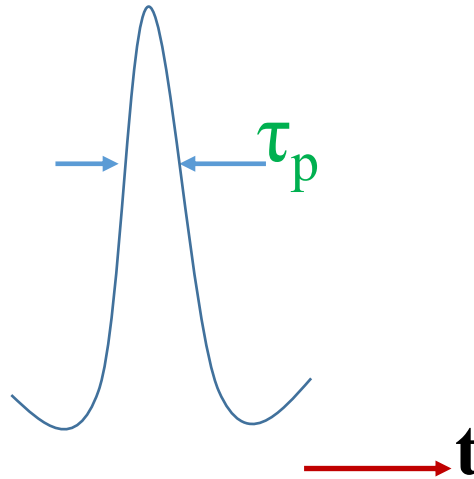
Applications:

- Interaction with specific atoms & molecules
- Spectroscopy (dye laser)
- Propagation
- Medical



Laser Fundamentals: **Property of Laser**

5. Short pulse widths

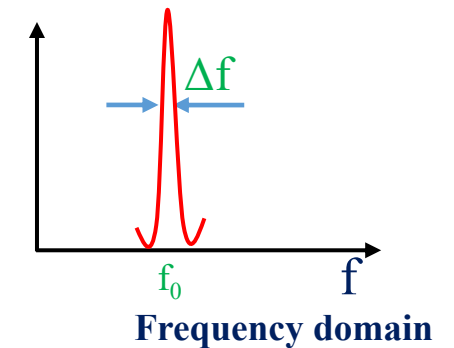
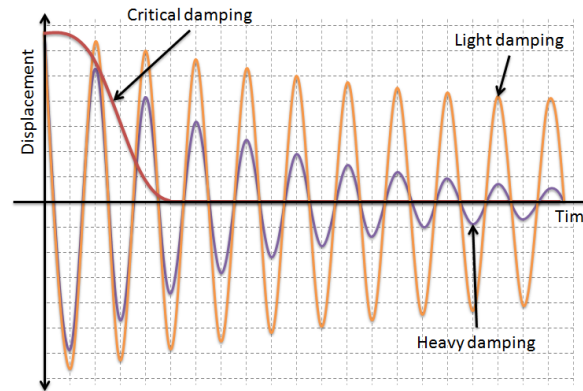
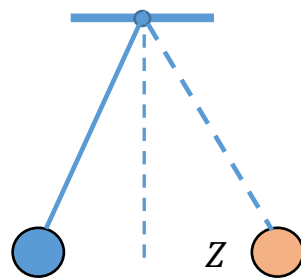
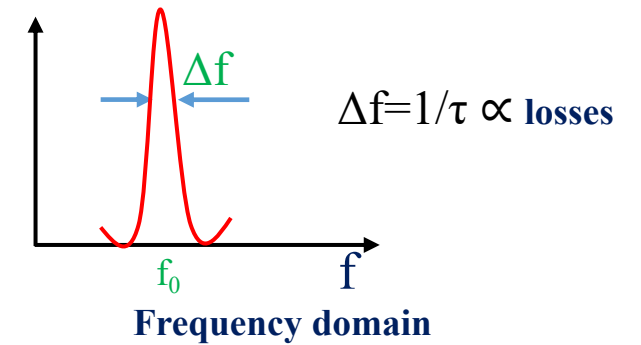
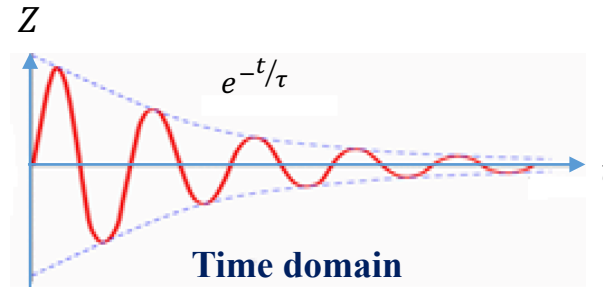
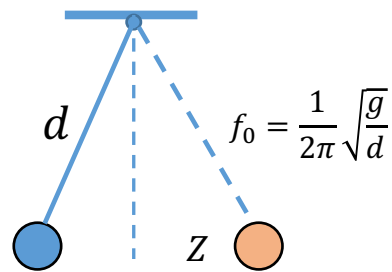
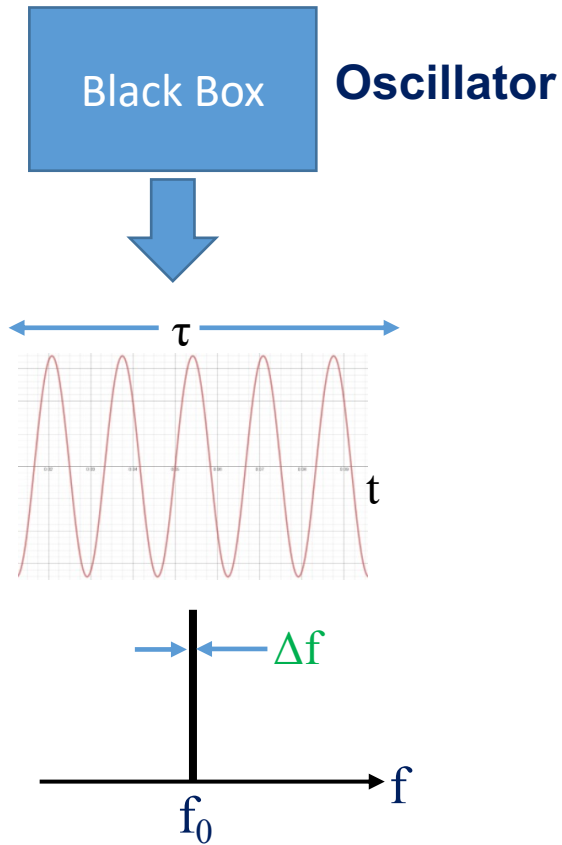


Applications:

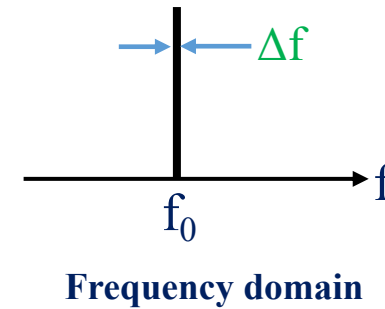
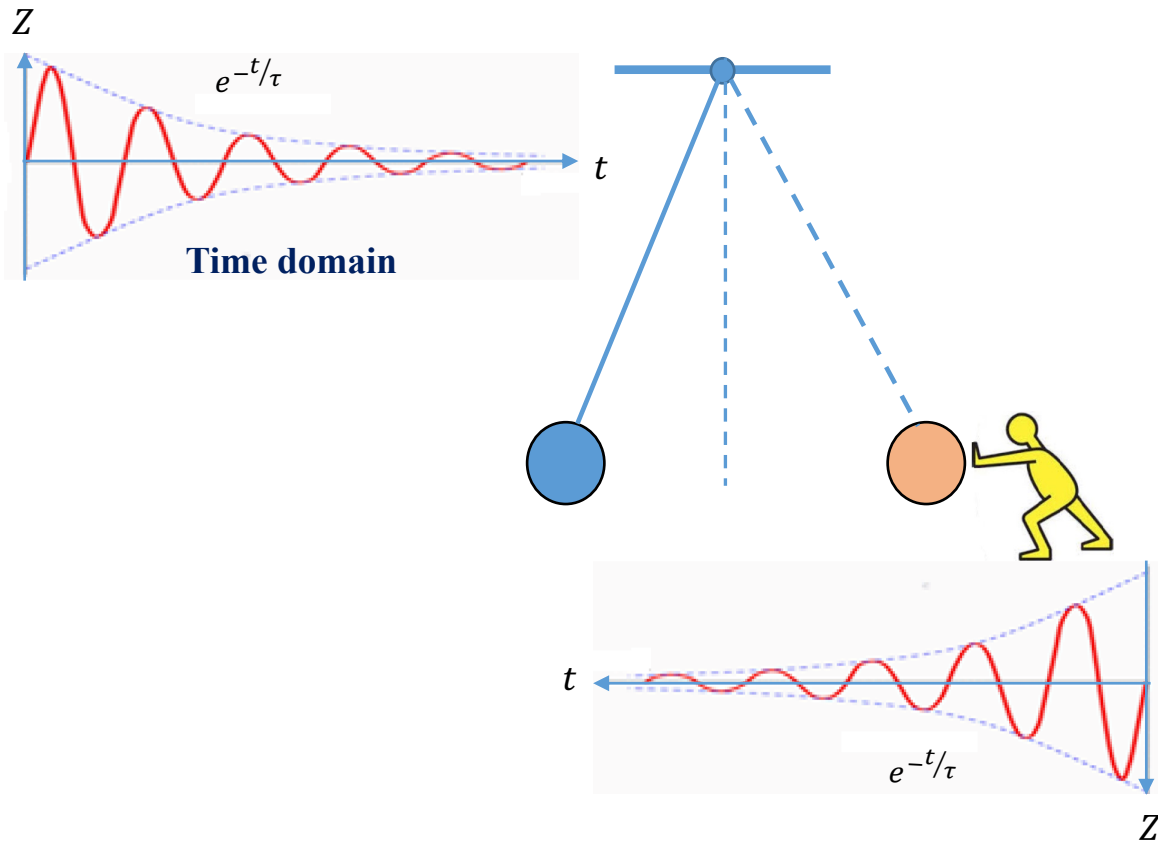
- Fast phenomena
- Optical computers
- Radar
- imaging

Pulse width is the time during which the laser output power remains continuously above all of its maximum value (FWHM). The pulse width can vary from nanosecond to picosecond to femtosecond.

Laser Fundamentals: Oscillator (1)



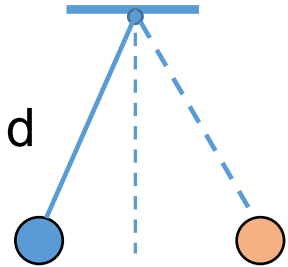
Laser Fundamentals: **Property of Laser**



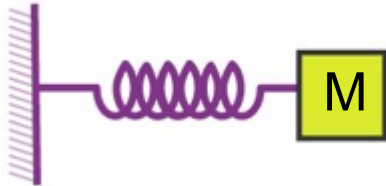
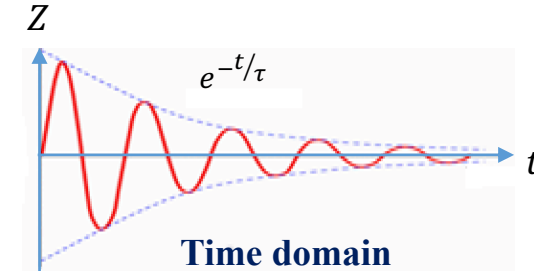
Need things for oscillator:

- Low loss resonator , resonator gives the required frequency of oscillation
- A means to overcome the loss at resonance frequency

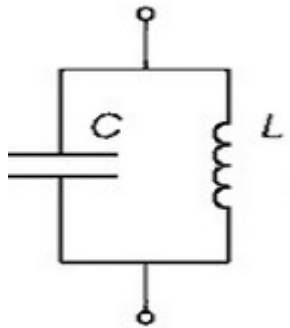
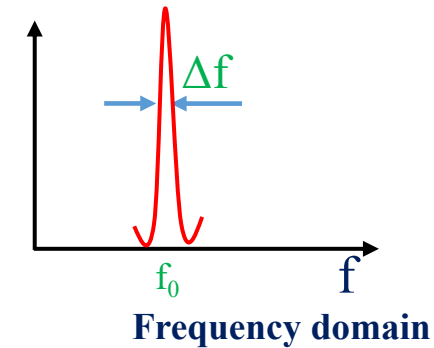
Laser Fundamentals: **Property of Laser**



$$f_0 = \frac{1}{2\pi} \sqrt{g/d}$$



$$f_0 = \frac{1}{2\pi} \sqrt{k/M}$$



$$f_0 = \frac{1}{2\pi} \sqrt{1/LC}$$

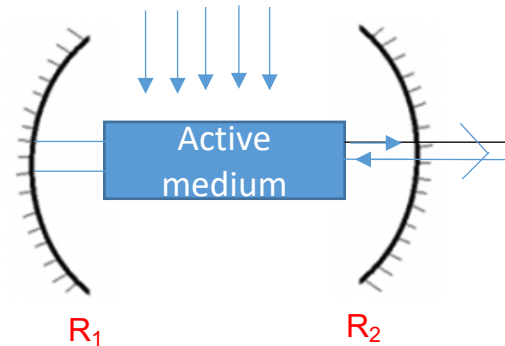
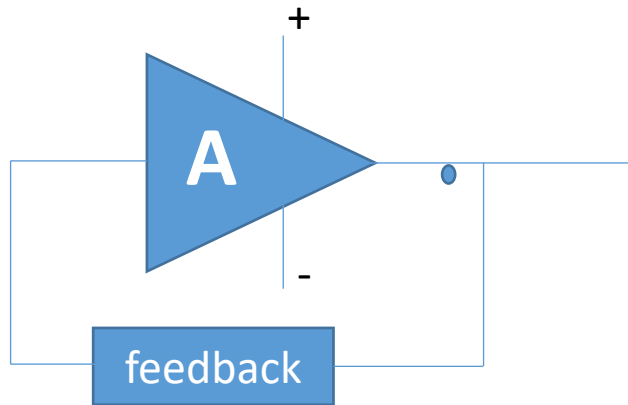
$$\Delta f = 1/\tau \propto \text{losses}$$

Laser Fundamentals: **Property of Laser**

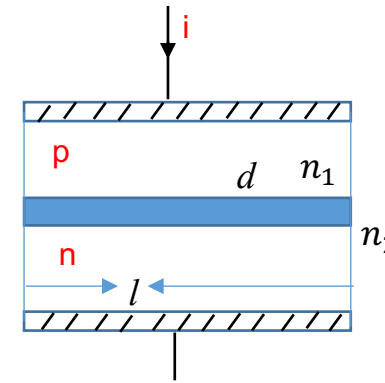
Light **A**mplified by **S**timulation **E**mission of **R**adiation, **L**ASER

is

analogues to the oscillator and oscillator is generally an amplifier with a feedback



Bulk laser



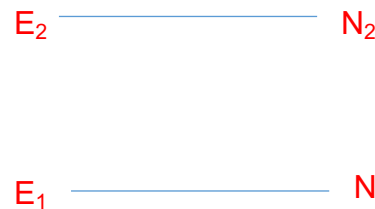
Semiconductor laser

$$\Delta n = \frac{(i/e)\tau}{l \times w \times d}$$

$$E_{fc} - E_{fv} > E_g$$

So in general 3 components of laser is

- gain medium --- active device
- Pump or power supply
- Optical feedback- optical resonator



$$N_2 > N_1$$

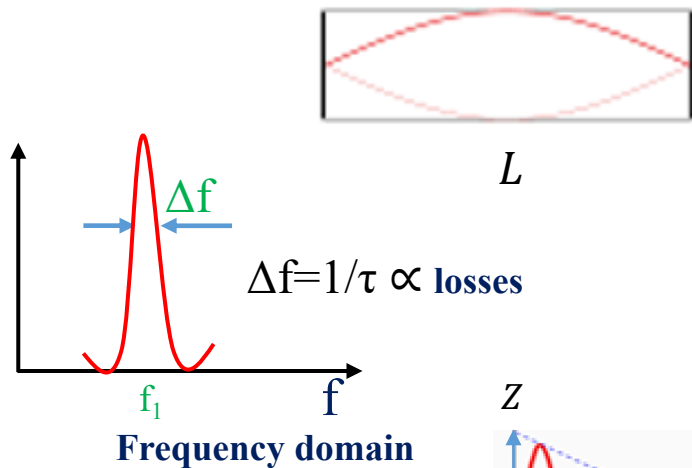
Population inversion

Reflectivity, $R = \left(\frac{n_1 - n_2}{n_1 + n_2} \right)^2$

Laser Fundamentals: Resonator

Optical Resonator determines

- Longitudinal Mode → Resonance frequency
- Transverse mode → Field Distribution

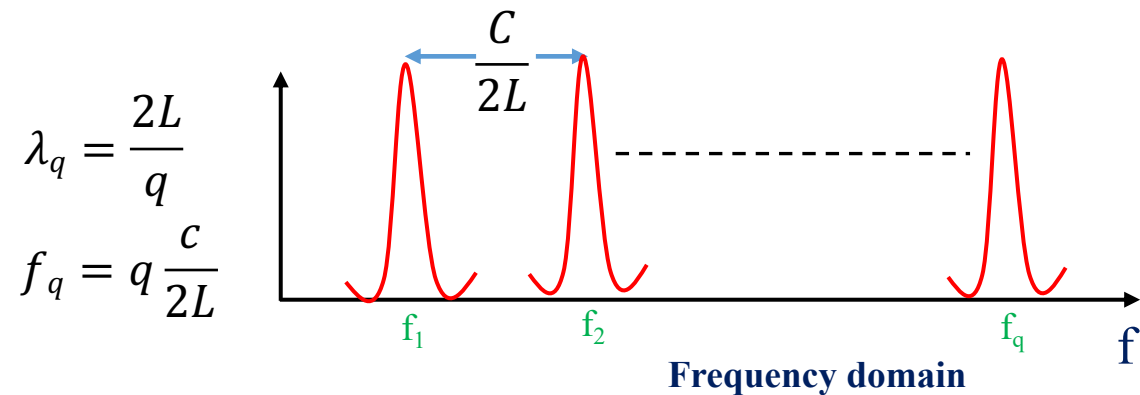
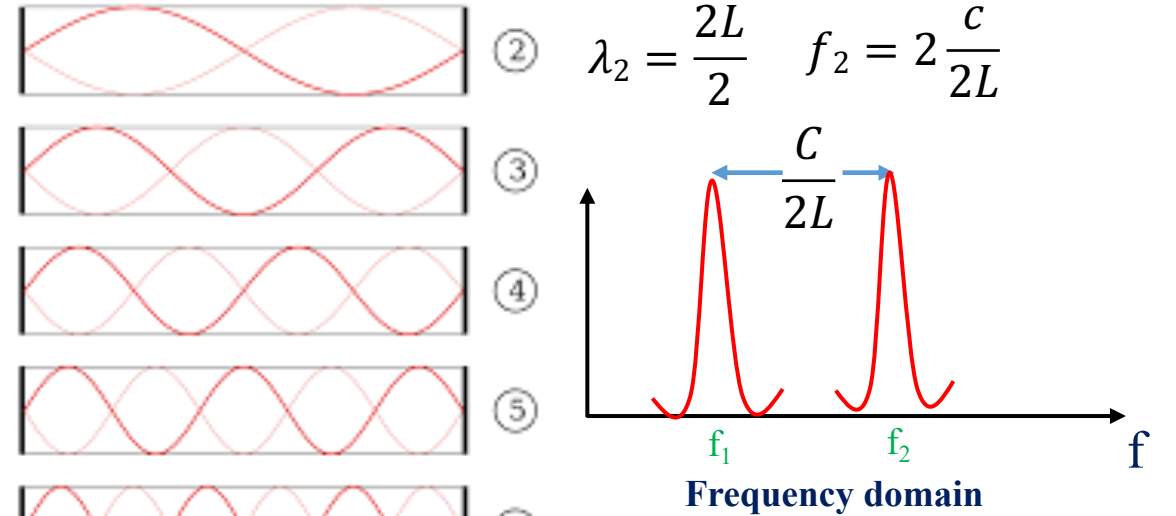
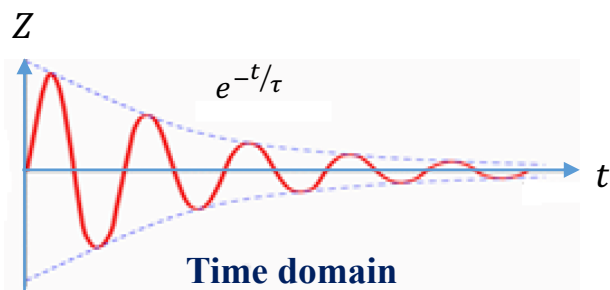


$$k_1 n \times 2L = q \times 2\pi$$

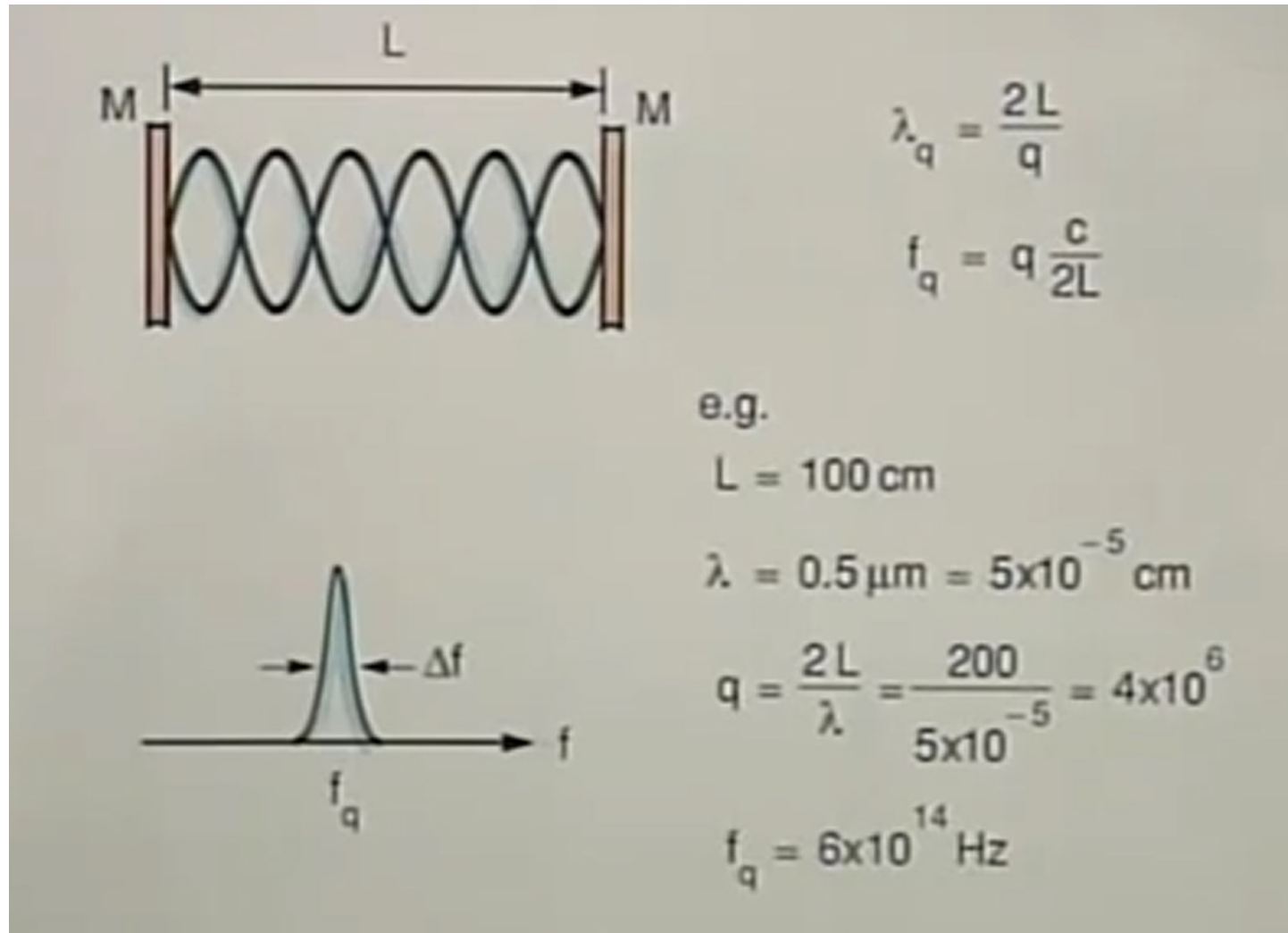
$$\frac{2\pi}{\lambda_1} n \times 2L = q \times 2\pi$$

$$L = \frac{\lambda_1}{2} \quad \lambda f = c$$

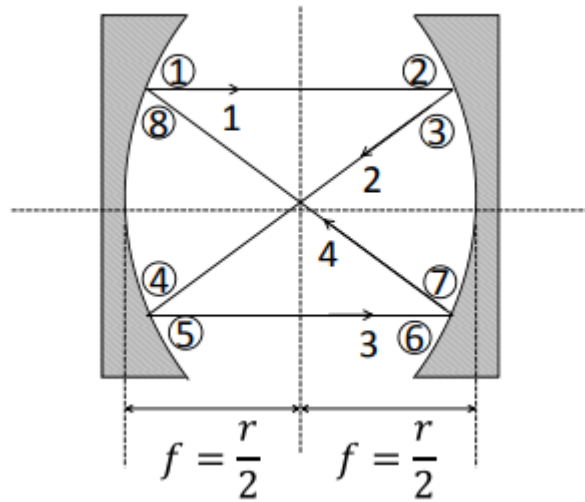
$$\lambda_1 = 2L \quad f_1 = \frac{c}{2L}$$



Laser Fundamentals: Resonator

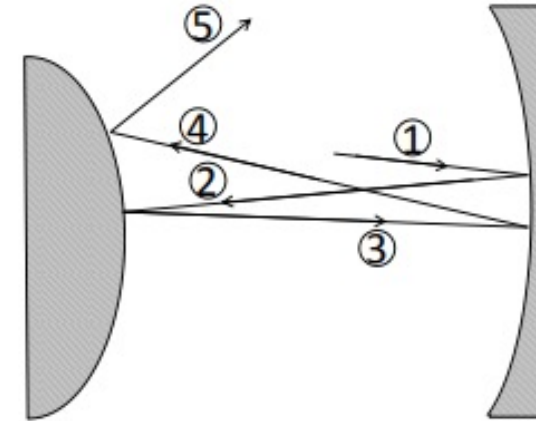


Laser Fundamentals: **Stability**



Stable Cavity

- A stable optical cavity consists of two or more optical elements (usually mirrors) in which *a ray will eventually replicate itself*
- Have low diffraction loss
- Stable cavities have smaller mode volume
- Useful for low-gain, low-volume lasers

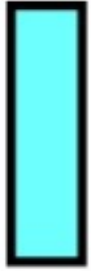


unstable Cavity

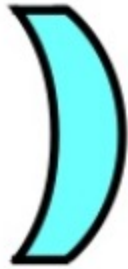
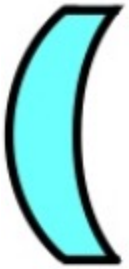
- In an unstable cavity, *rays do not replicate themselves*
- Each trip through the cavity will take the ray further from the optic axis, resulting in high diffraction losses
- Useful for high-gain, high-volume lasers

Laser Fundamentals: **Stability**

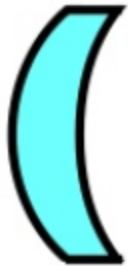
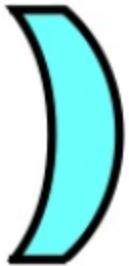
Laser Cavities



Two flat mirrors, the flat-flat laser cavity, is **difficult to align** and maintain aligned.



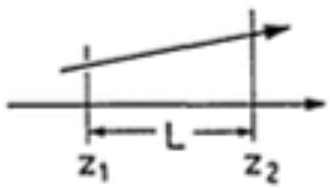

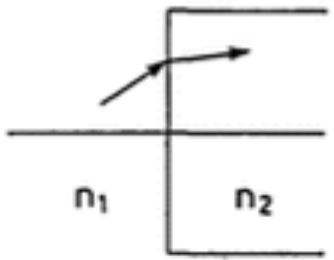
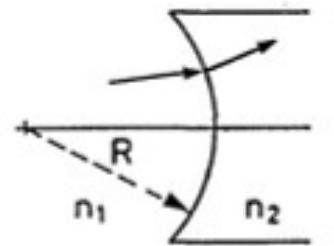
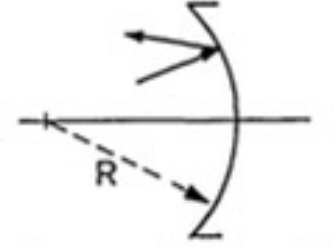
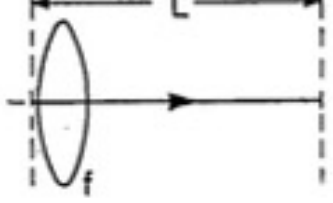
Two concave curved mirrors, **the usually stable** laser cavity, is generally easy to align and maintain aligned



Two convex mirrors, the **unstable** laser cavity, is impossible to align

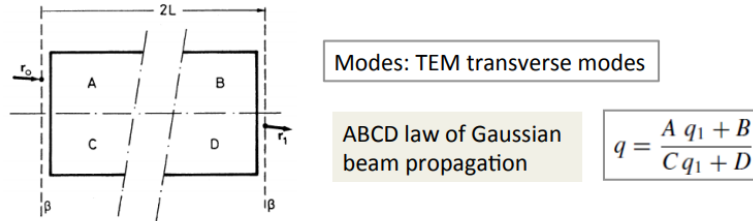
Laser Fundamentals: Ray Matrix

Table 1. Ray Matrices for Various Simple Optical Elements^a

Structure	Diagram	Matrix
Straight section (length L)		$\begin{bmatrix} 1 & L \\ 0 & 1 \end{bmatrix}$
Thin lens [focal length f : ($f > 0$, converging; $f < 0$, diverging)]		$\begin{bmatrix} 1 & 0 \\ -1/f & 1 \end{bmatrix}$
Flat dielectric interface (refractive indices n_1, n_2)		$\begin{bmatrix} 1 & 0 \\ 0 & n_1/n_2 \end{bmatrix}$
Curved dielectric interface (radius R)		$\begin{bmatrix} 1 & 0 \\ \frac{n_2 - n_1}{n_2 R} & \frac{n_1}{n_2} \end{bmatrix}$
Curved mirror (radius of curvature R)		$\begin{bmatrix} 1 & 0 \\ -2/R & 1 \end{bmatrix}$
Thin lens (focal length f) followed by a distance L		$\begin{bmatrix} 1 - \frac{L}{f} & L \\ -\frac{1}{f} & 1 \end{bmatrix}$

Laser Fundamentals: Stability

Employ the ABCD-matrix approach, in which case the cavity is stable if



q must repeat itself after a round trip:

$$q = \frac{Aq + B}{Cq + D} \rightarrow Cq^2 + (D - A)q - B = 0$$

q must be complex, hence $(D - A)^2 + 4BC < 0$

Since $AD - BC = 1$ $(D + A)^2 < 4$

Stability condition: $-1 < \left(\frac{A+D}{2}\right) < 1$ (re-derived)

$$0 \leq \frac{A + D + 2}{4} \leq 1$$

$$0 \leq g_1 g_2 \leq 1$$

Where,

$$g_1 = 1 - \frac{d}{R_1}; \quad g_2 = 1 - \frac{d}{R_2}$$

The ABCD matrix for a round trip of a cavity comprising two mirrors with radii R_1 and R_2 separated by a distance d

$$\begin{aligned} \begin{bmatrix} A & B \\ C & D \end{bmatrix} &= \begin{bmatrix} 1 & 0 \\ -\frac{2}{R_1} & 1 \end{bmatrix} \begin{bmatrix} 1 & d \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ -\frac{2}{R_2} & 1 \end{bmatrix} \begin{bmatrix} 1 & d \\ 0 & 1 \end{bmatrix} \\ &= \begin{bmatrix} 1 - \frac{2d}{R_2} & 2d - \frac{2d^2}{R_2} \\ \frac{4d}{R_1 R_2} - \frac{2}{R_1} - \frac{2}{R_2} & 1 + \frac{4d^2}{R_1 R_2} - \frac{4d}{R_1} - \frac{2d}{R_2} \end{bmatrix} \end{aligned}$$

If d is the mirror separation and the mirror's radii of curvature are R_1 and R_2 , then the cavity will be stable if and only if

$$0 \leq \frac{\left(1 - \frac{2d}{R_2}\right) + \left(1 + \frac{4d^2}{R_1 R_2} - \frac{4d}{R_1} - \frac{2d}{R_2}\right) + 2}{4} \leq 1$$

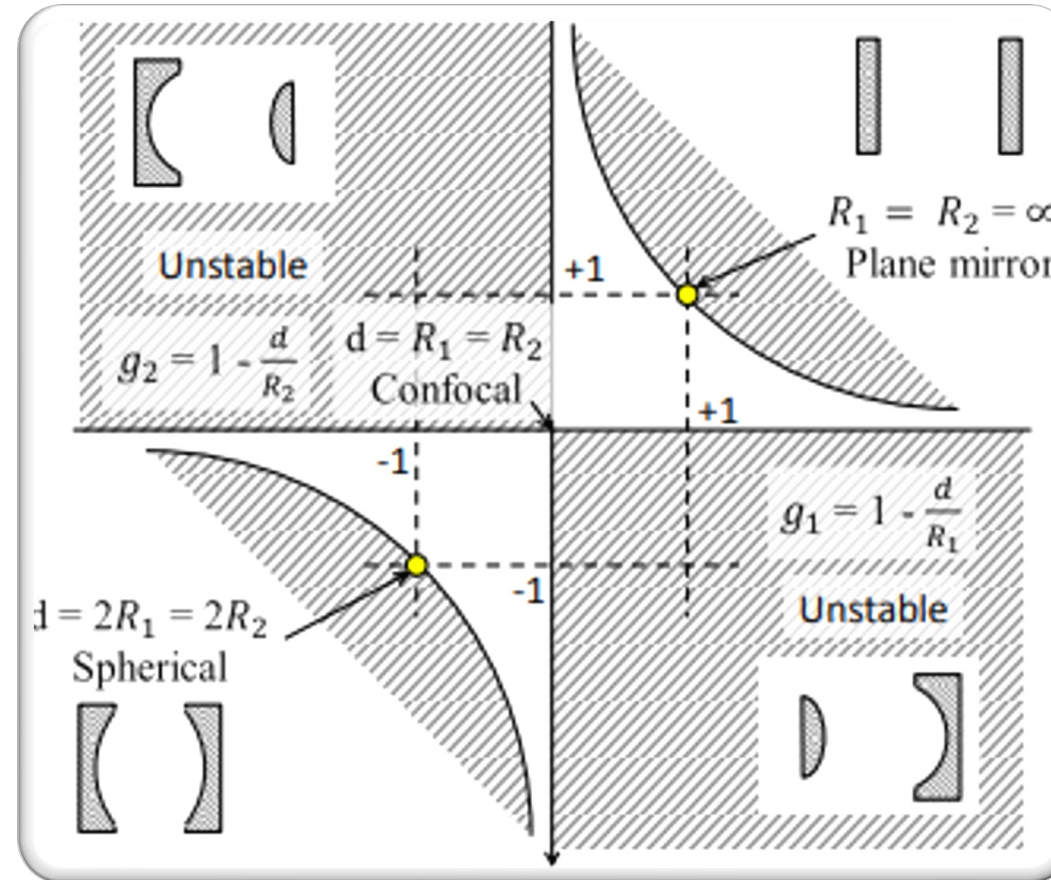
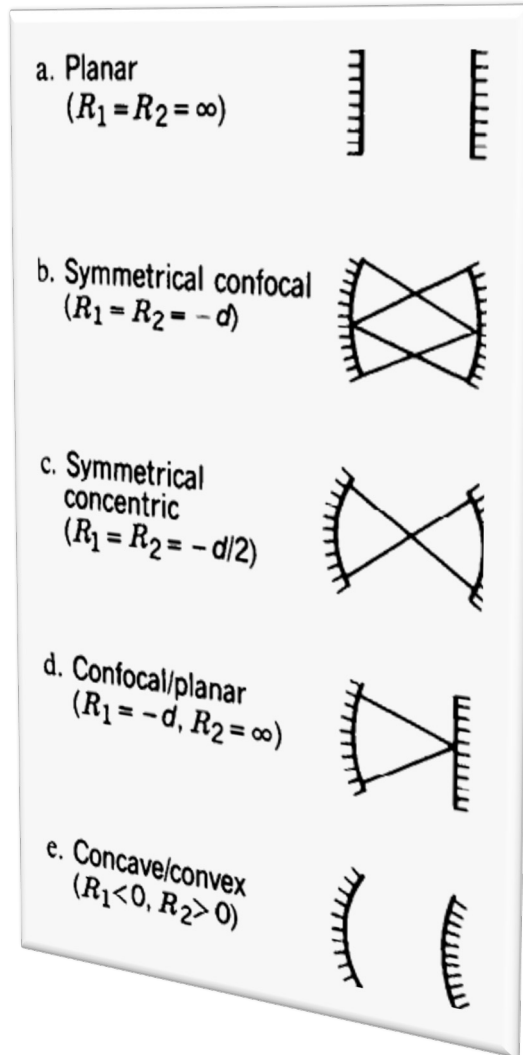
When simplified, this expression becomes

$$0 \leq \left(1 - \frac{d}{R_1}\right) \left(1 - \frac{d}{R_2}\right) \leq 1 \rightarrow 0 \leq g_1 g_2 \leq 1$$

$$g_1 \equiv 1 - \frac{d}{R_1} \quad \text{and} \quad g_2 \equiv 1 - \frac{d}{R_2}$$

The two mirror cavity stability criteria

Laser Fundamentals: **Stability**



Laser Fundamentals: Stability

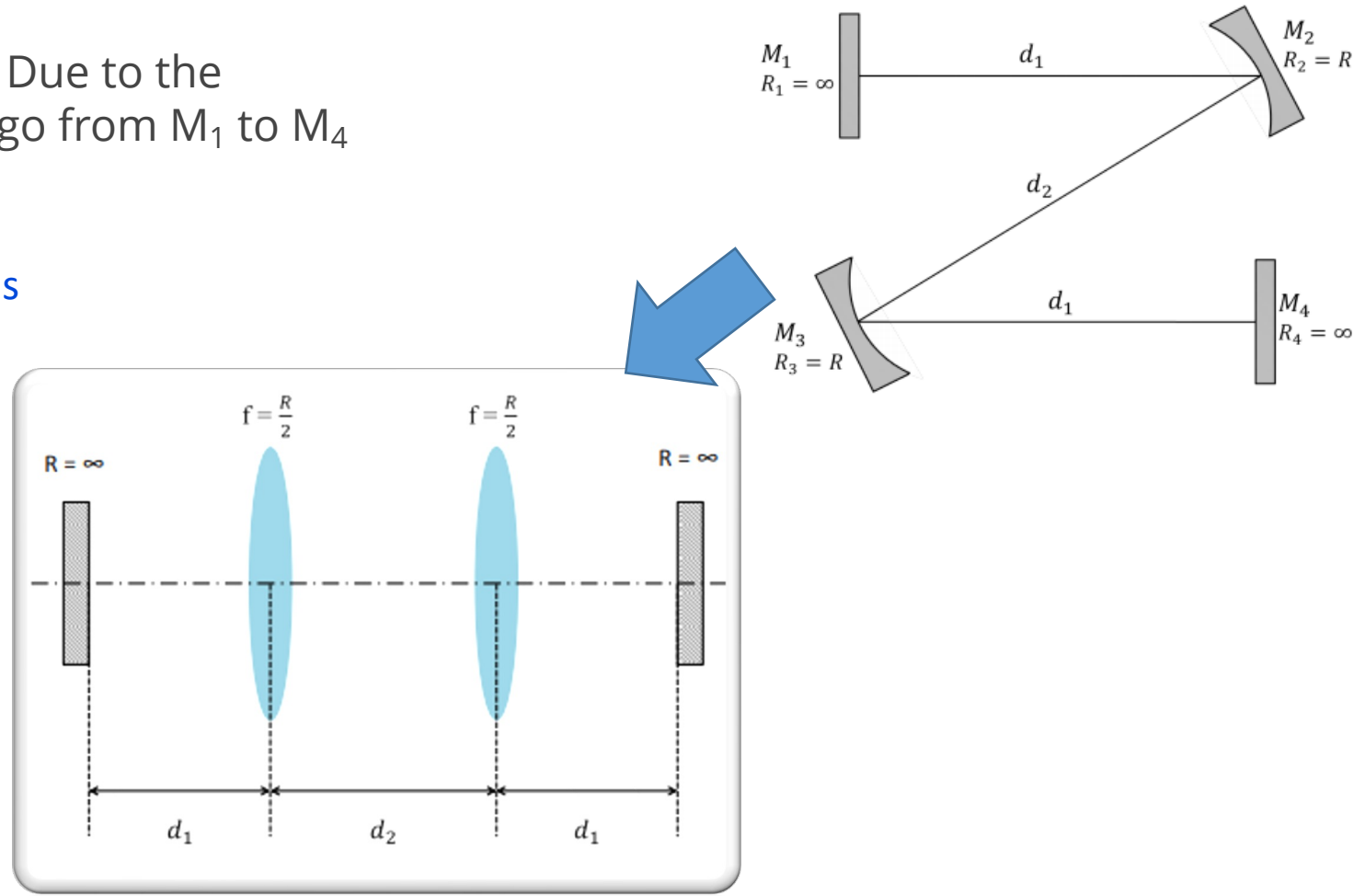
Solution: The first step is to unwrap the cavity. Due to the symmetry of this cavity, it is only necessary to go from M_1 to M_4 before an equivalent position is reached.

The ABCD matrix for this half traversal of the cavity is

$$\begin{bmatrix} A & B \\ C & D \end{bmatrix}_{1/2} = \begin{bmatrix} 1 & d_1 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ -\frac{2}{R} & 1 \end{bmatrix} \begin{bmatrix} 1 & d_2 \\ 0 & 1 \end{bmatrix} \times \begin{bmatrix} 1 & 0 \\ -\frac{2}{R} & 1 \end{bmatrix} \begin{bmatrix} 1 & d_1 \\ 0 & 1 \end{bmatrix}$$

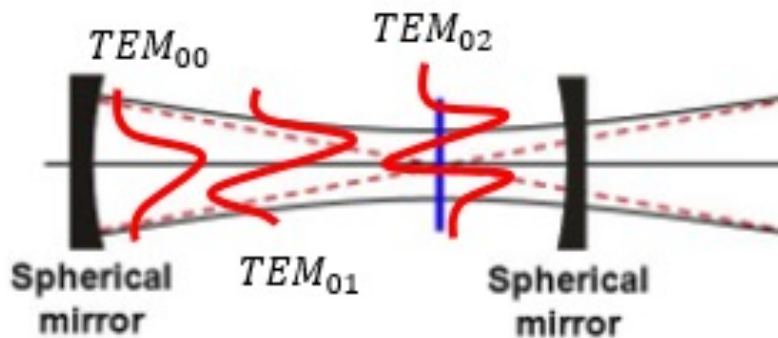
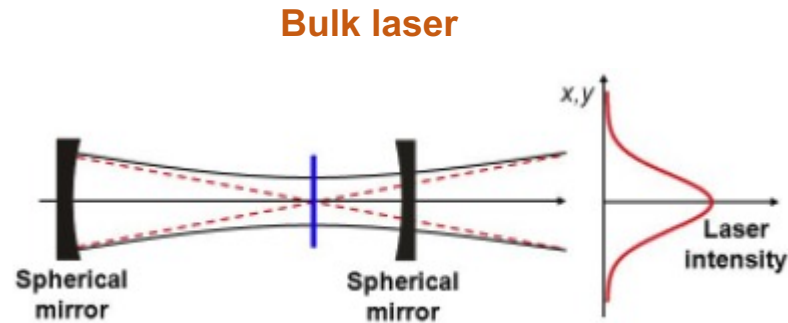
$$\begin{bmatrix} A & B \\ C & D \end{bmatrix}_{1/2} = \begin{bmatrix} 1 - \frac{4d_1 + 2d_2}{R} + \frac{4d_1 d_2}{R^2} & \\ & 1 - \frac{4d_1 + 2d_2}{R} + \frac{4d_1 d_2}{R^2} \end{bmatrix}$$

$$\left| \frac{R^2 - (4d_1 + 2d_2)R + 4d_1 d_2}{R^2} \right| \leq 1$$

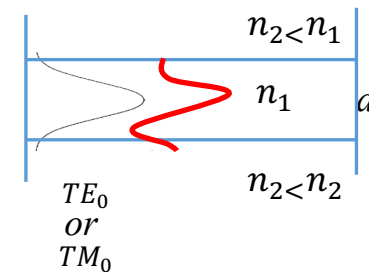
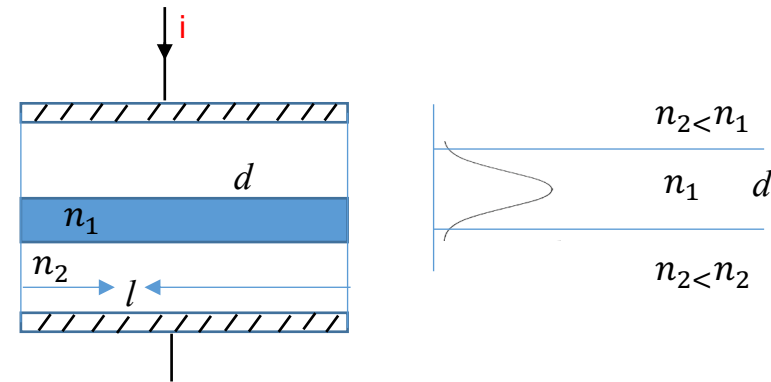


Laser Fundamentals:

Transverse mode: Field Distribution



Semiconductor laser



$$V = \frac{2\pi}{\lambda} d \sqrt{n_1^2 - n_2^2}$$

V parameter

Need things for oscillator:

- Low loss resonator , resonator gives the required frequency of oscillation
- A means to overcome the loss at resonance frequency

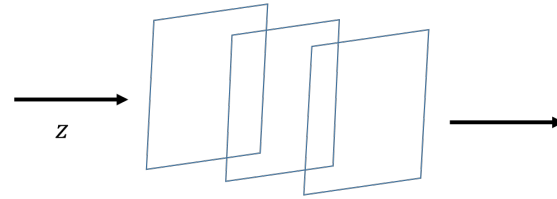
Laser Fundamentals: Gaussian Beam

Gaussian beam wave equation to our concept and assumption

Plane Wave

$$A \exp j(Kz - \omega t)$$

amplitude \downarrow A
 phase \leftarrow $j(Kz - \omega t)$
 Propagation direction \uparrow Kz
 frequency \uparrow ωt



spherical wave)

$$\frac{A}{r} e^{jkr}$$

$$A e^{-\frac{x^2 + y^2}{w^2}} \exp j(Kz - \omega t) e^{jk \frac{x^2 + y^2}{2z}}$$

Actual Gaussian Beam Equation

$$\frac{E_0(x, y, z)}{E_A} = \left\{ \frac{w_0}{w(z)} \exp \left[-\frac{r^2}{w^2(z)} \right] \right\} \exp \left[-i \left[kz - \tan^{-1} (z / z_0) \right] \right] \exp \left[-i \frac{kr^2}{2R(z)} \right]$$

amplitude factor which limits the beam "spot size" Almost a plane wave with respect to longitudinal phase describes wavefront curvature (radial phase)

Laser Fundamentals: Gaussian Beam

$$\frac{E(x, y, z)}{E_0} = \frac{w_0}{w(z)} \exp\left[-\frac{r^2}{w^2(z)}\right] \times \exp\left\{-j\left[kz - \tan^{-1}\left(\frac{z}{z_0}\right)\right]\right\} \times \exp\left[-j\frac{kr^2}{2R(z)}\right]$$

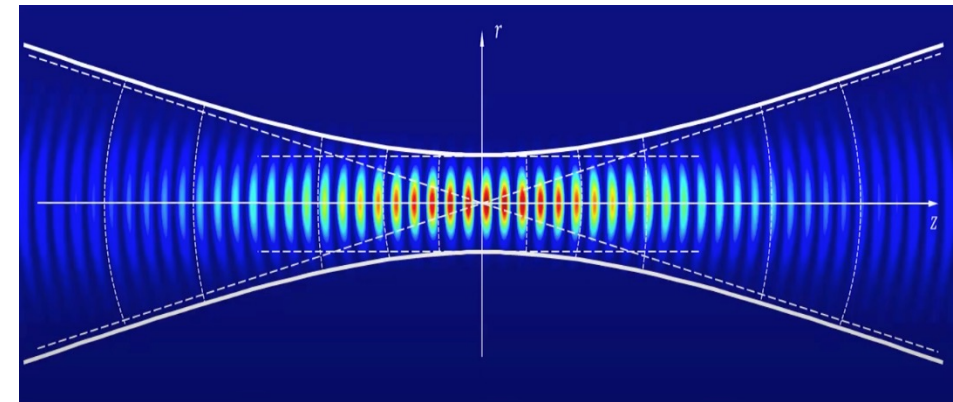
Amplitude Factor: Describes beam spread (pure real)

Longitudinal Phase Factor: Describes shift from plane wave to spherical wave behavior

Radial Phase Factor: Accounts for phase shifts due to measuring a spherical surface along a plane

Slight phase advance as propagating

Measured at a plane



Laser Fundamentals: Gaussian Beam

Gaussian Beam Spot, Radius and Phase

$w(z)$: Gaussian beam width at distance z along the beam

w_0 : Beam waist;

b : depth of focus;

Z_R : Rayleigh range;

Θ : Total angular spread

The expressions for the spot size, radius of curvature, and phase shift:

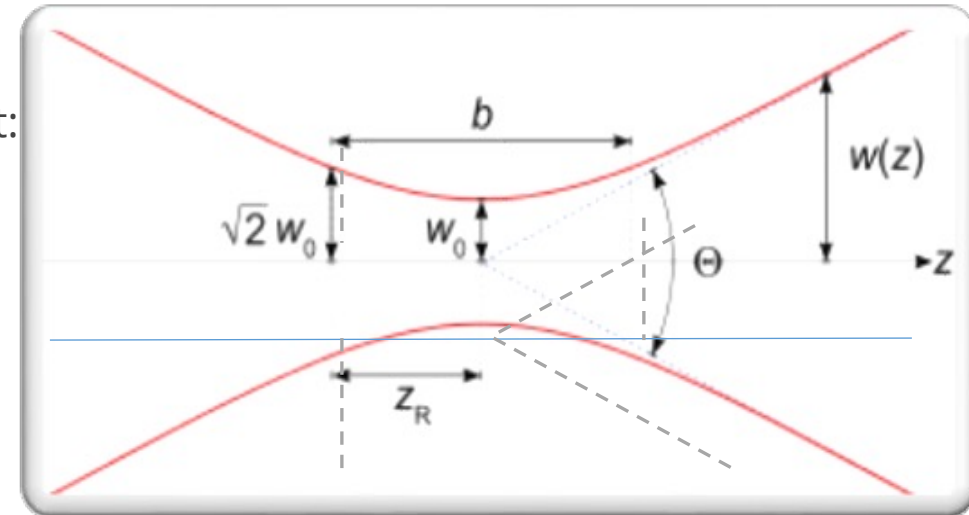
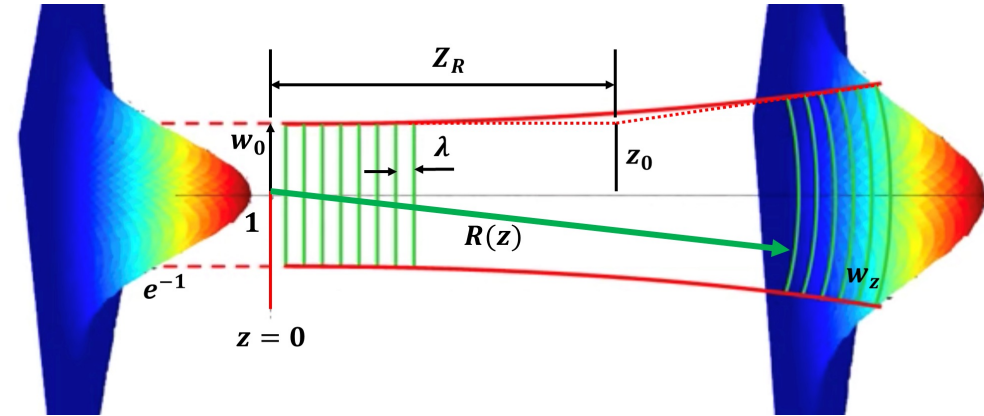
$$w(z) = w_0 \sqrt{1 + \left(\frac{z}{Z_R} \right)^2}$$

$$R(z) = z + \frac{Z_R^2}{z}$$

$$\psi(z) = \arctan \left(\frac{z}{Z_R} \right)$$

where:

Z_R the Rayleigh Range (the distance over which the beam remains about the same diameter), and it's given by $Z_R = \frac{\pi w_0^2}{\lambda}$

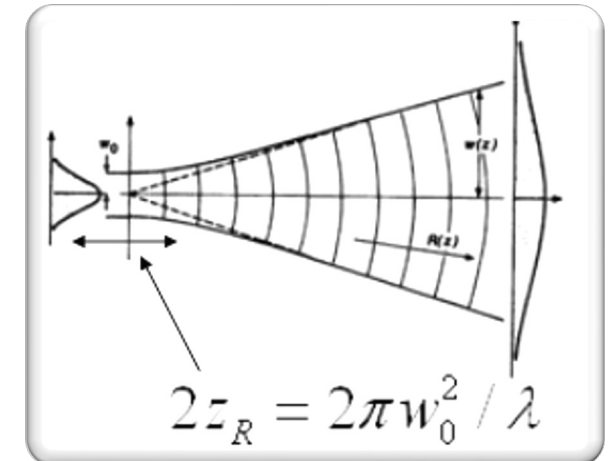


Laser Fundamentals: Gaussian Beam

Gaussian Beam Collimation

Twice the Rayleigh range is the distance over which the beam remains about the same size, that is, remains “collimated.”

Waist spot size w_0	Collimation Distance $\lambda = 10.6 \mu\text{m}$	Collimation Distance $\lambda = 0.633 \mu\text{m}$
.225 cm	0.003 km	0.045 km
2.25 cm	0.3 km	5 km
22.5 cm	30 km	500 km

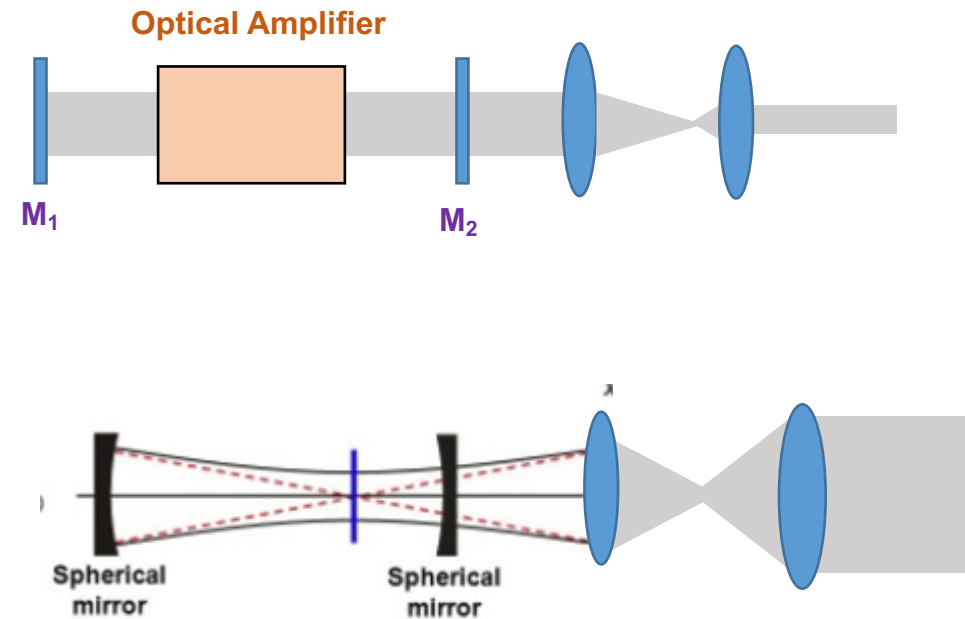
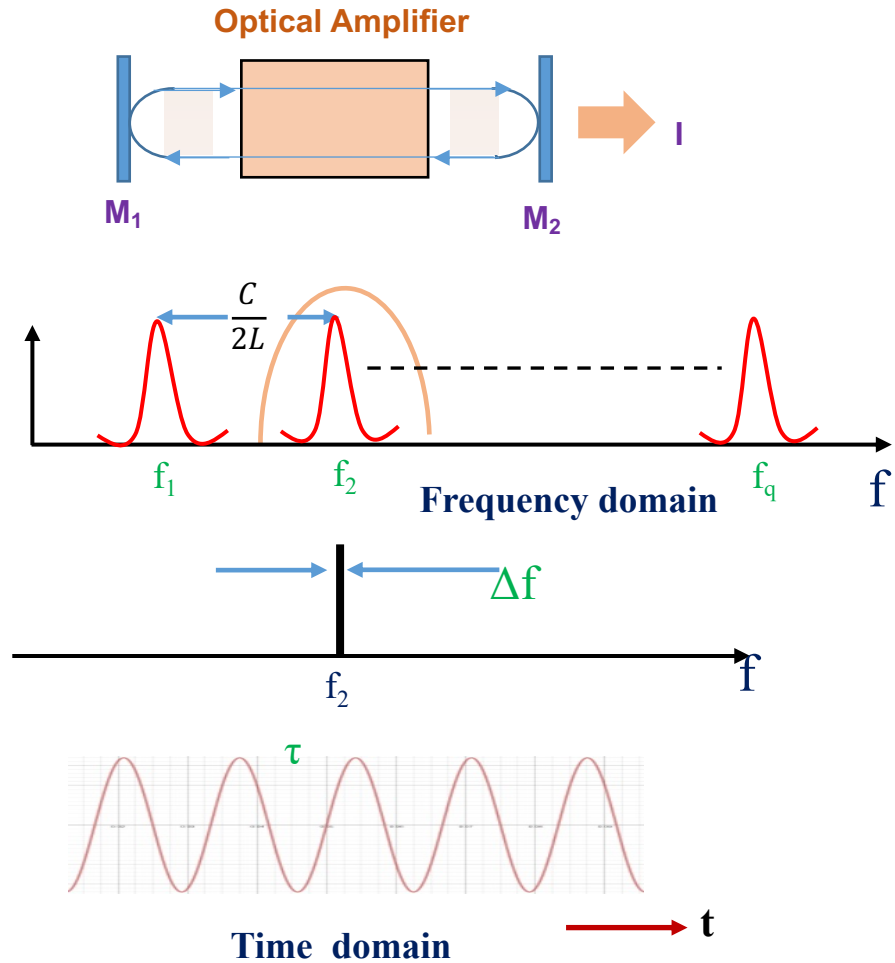


Tightly focused laser beams expand quickly. Weakly focused beams expand less quickly, but still expand. As a result, it's very difficult to shoot down a missile with a laser.

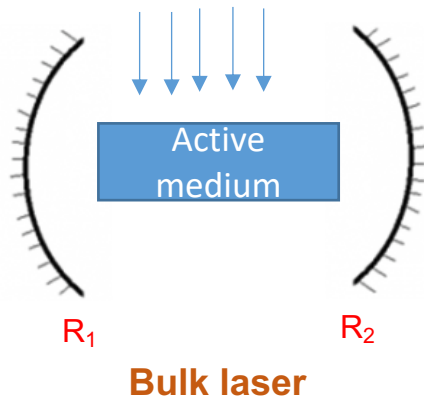
Longer wavelengths expand faster than shorter ones.

Laser Fundamentals: **Optical Amplifier**

A means to overcome the loss at resonance frequency

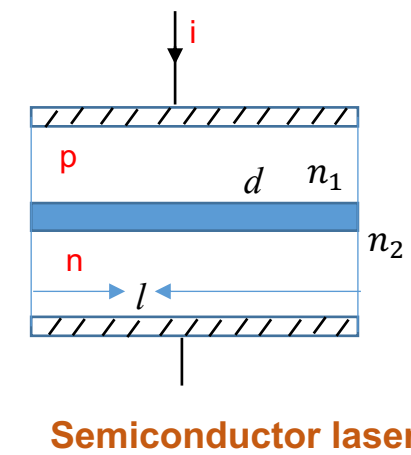


Laser Fundamentals: **Optical Amplifier**



Active medium becomes gain medium when

$$E_{fc} - E_{fv} > E_g$$

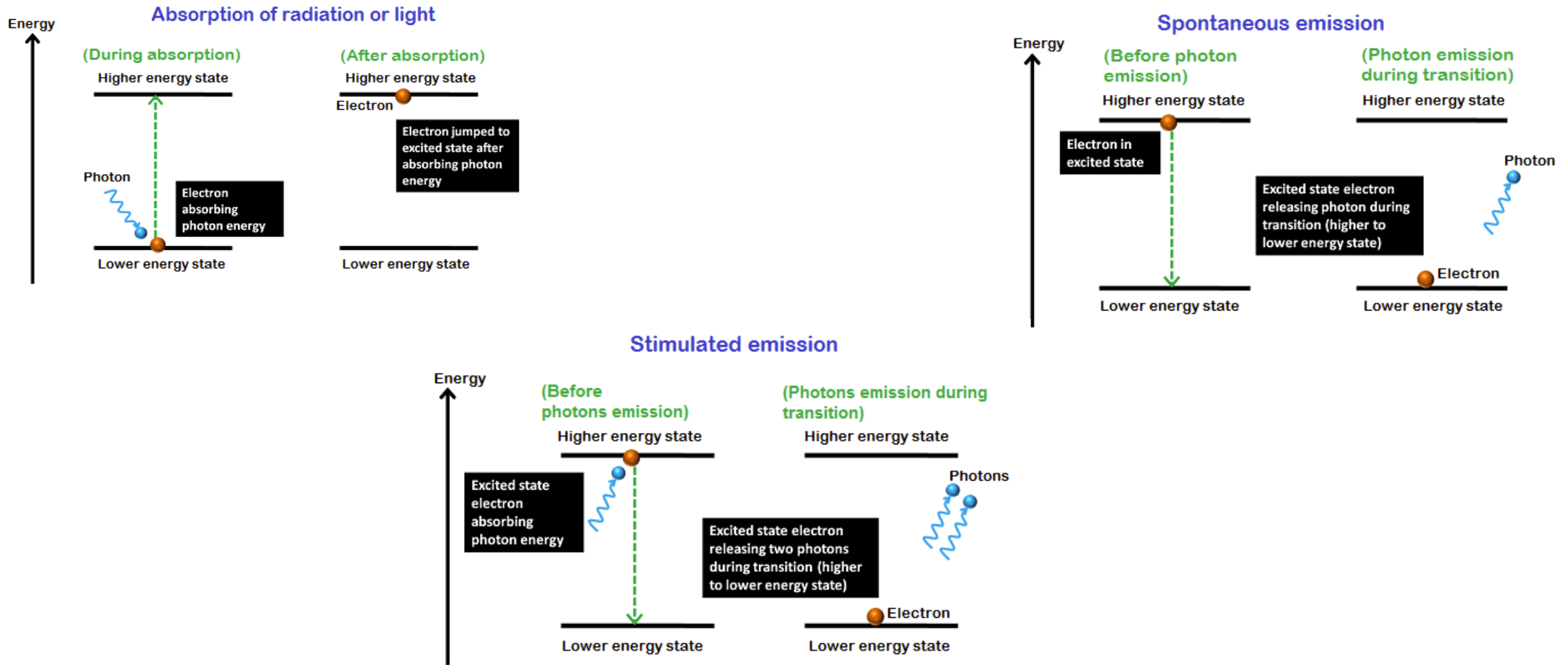


$$\Delta n = \frac{(i/e)\tau}{l \times w \times d}$$
$$E_{fc} - E_{fv} > E_g$$

Photons are interacted in 3 different ways with the atoms

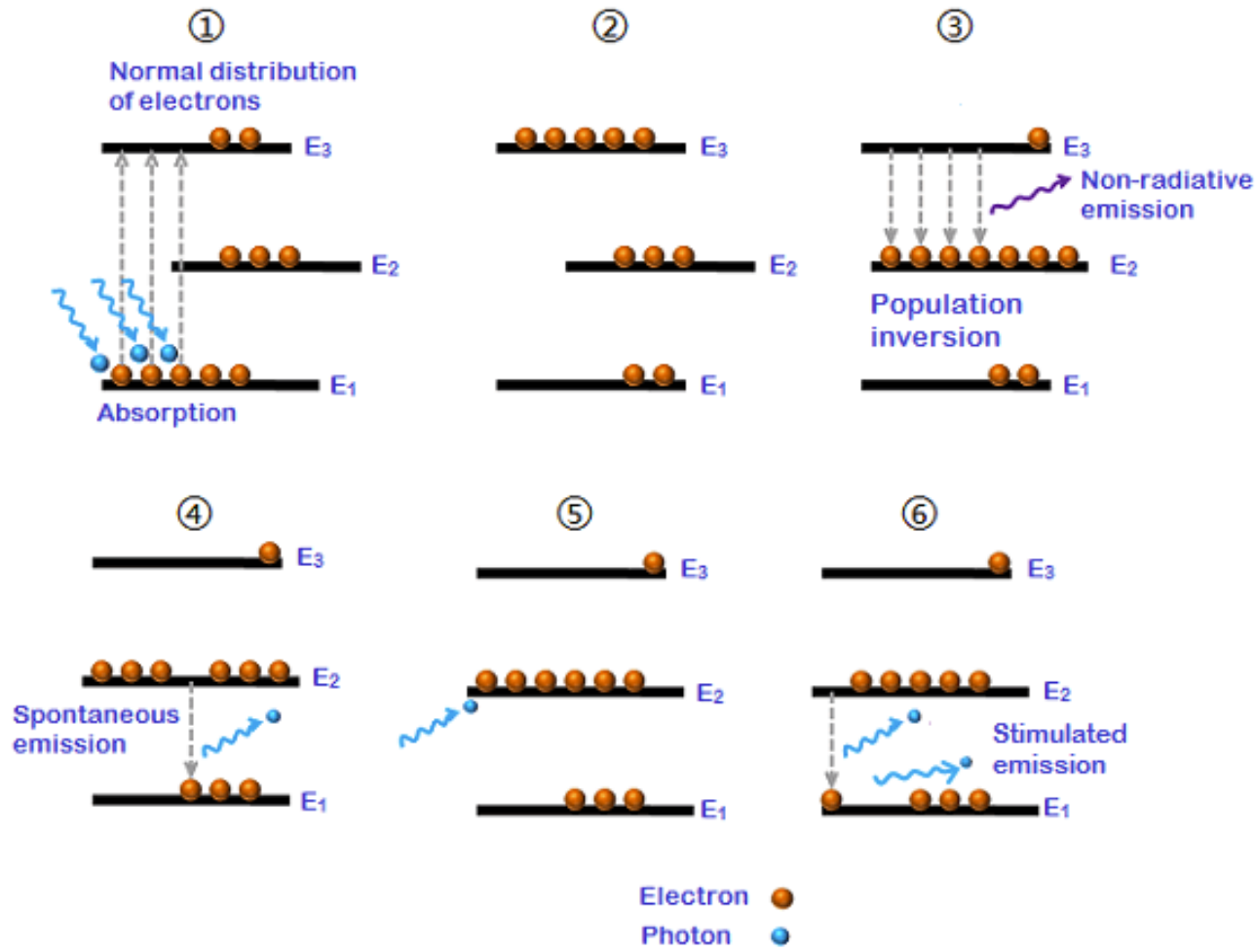
- Absorption of the radiation
- Spontaneous emission
- Stimulated emission

Laser Fundamentals: Optical Amplifier

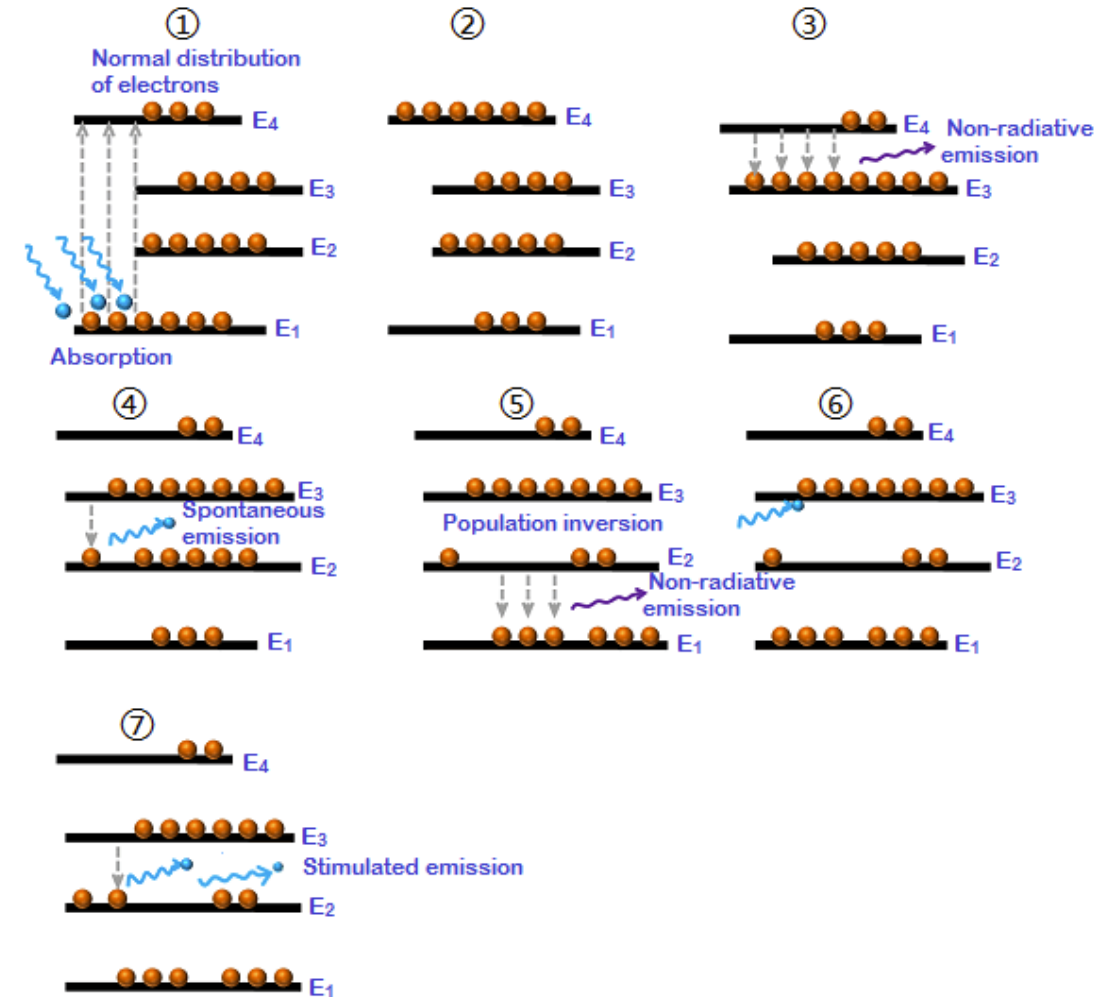


Laser Fundamentals: **Optical Amplifier**

Population inversion in 3-level laser

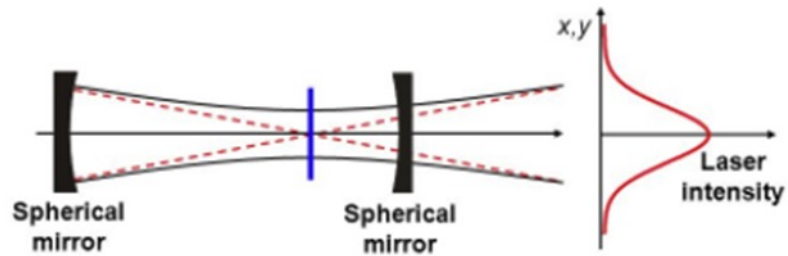


Population inversion in 4-level laser

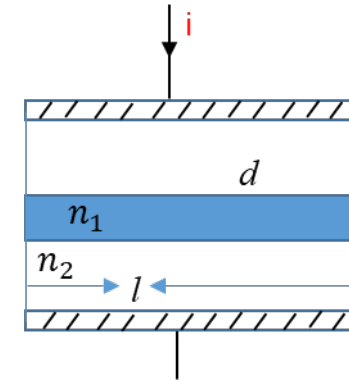


Laser Fundamentals: Optical Amplifier

Laser dynamics at steady state

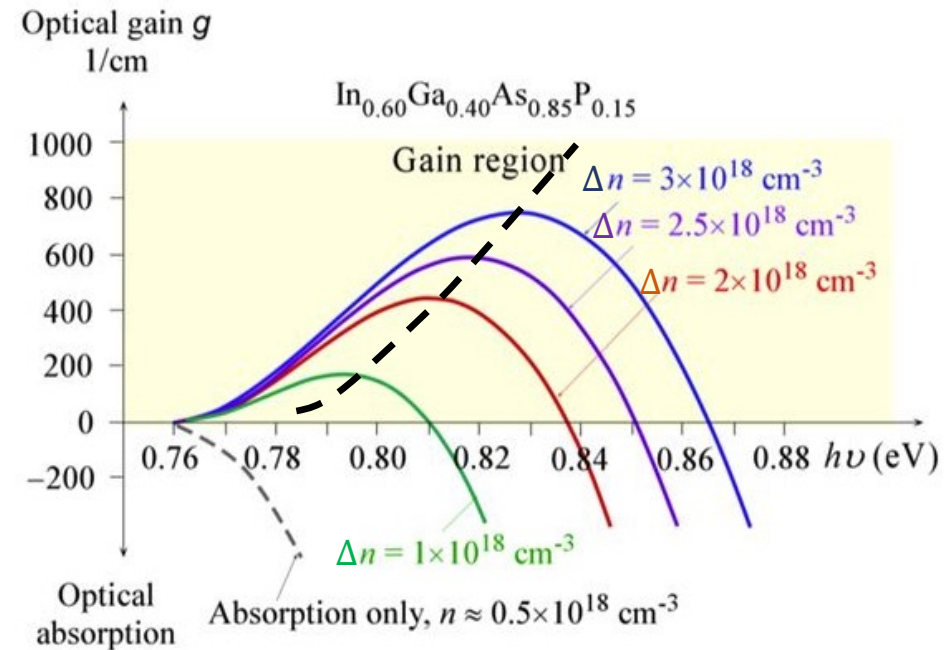
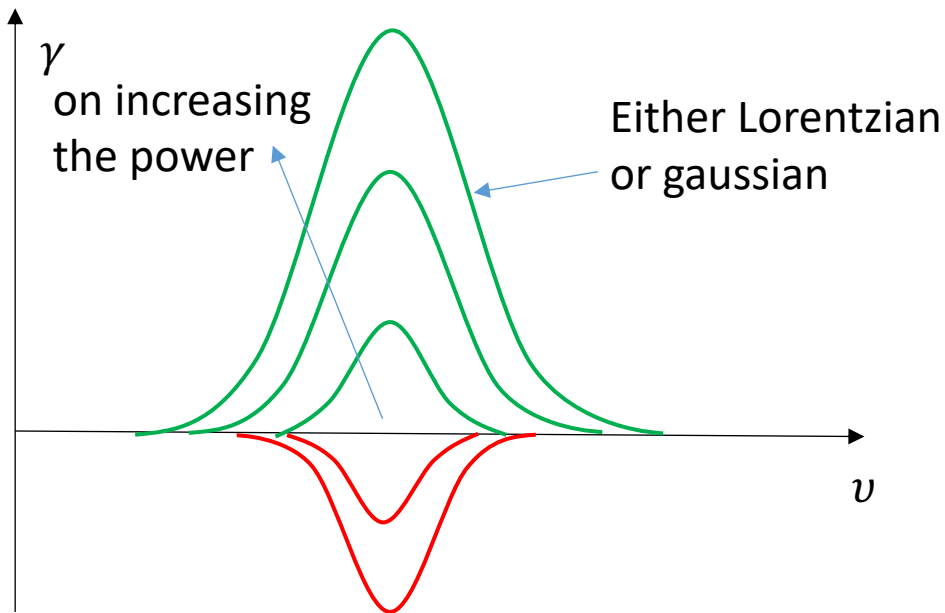


Gain = Loss



$$\Delta n = \frac{(i/e)\tau}{l \times w \times d}$$

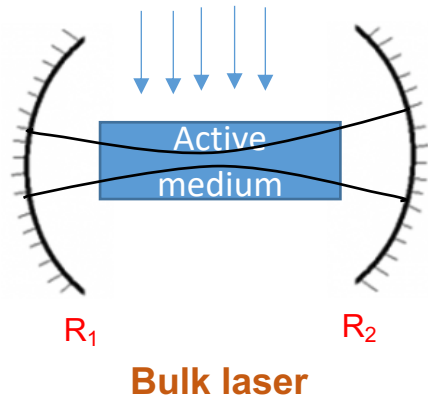
$$E_{fc} - E_{fv} > E_g$$



Δn on creasing, i increasing

Laser Fundamentals: Optical Amplifier

Gain = Loss



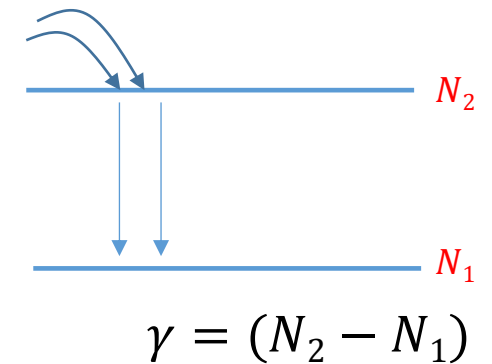
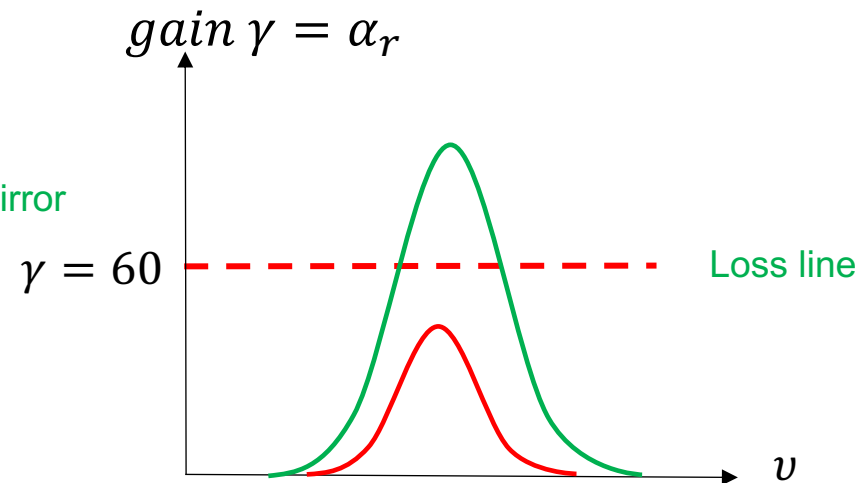
$$\begin{aligned} \text{resonator loss, } \alpha_r &= \alpha_s + \alpha_m \\ &= \alpha_s + \frac{1}{2L} \ln \left(\frac{1}{R_1 R_2} \right) \end{aligned}$$

In semiconductor loss

- α_s 10 to 50 cm⁻¹
- $\alpha_m = 38$ cm⁻¹ for 0.32 reflectivity and 300
- And resonator loss is about α_r 60 cm⁻¹ for 22 scattering loss

Loss in resonator

- Scattering loss in the medium
- Diffraction losses
- Losses due to the finite reflectivity of the mirror

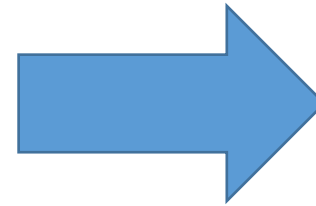


“Gain Saturation”

The important thing is in steady state gain coefficient equals to loss coefficient

Laser Fundamentals:

Gain and Loss plot on
steady state

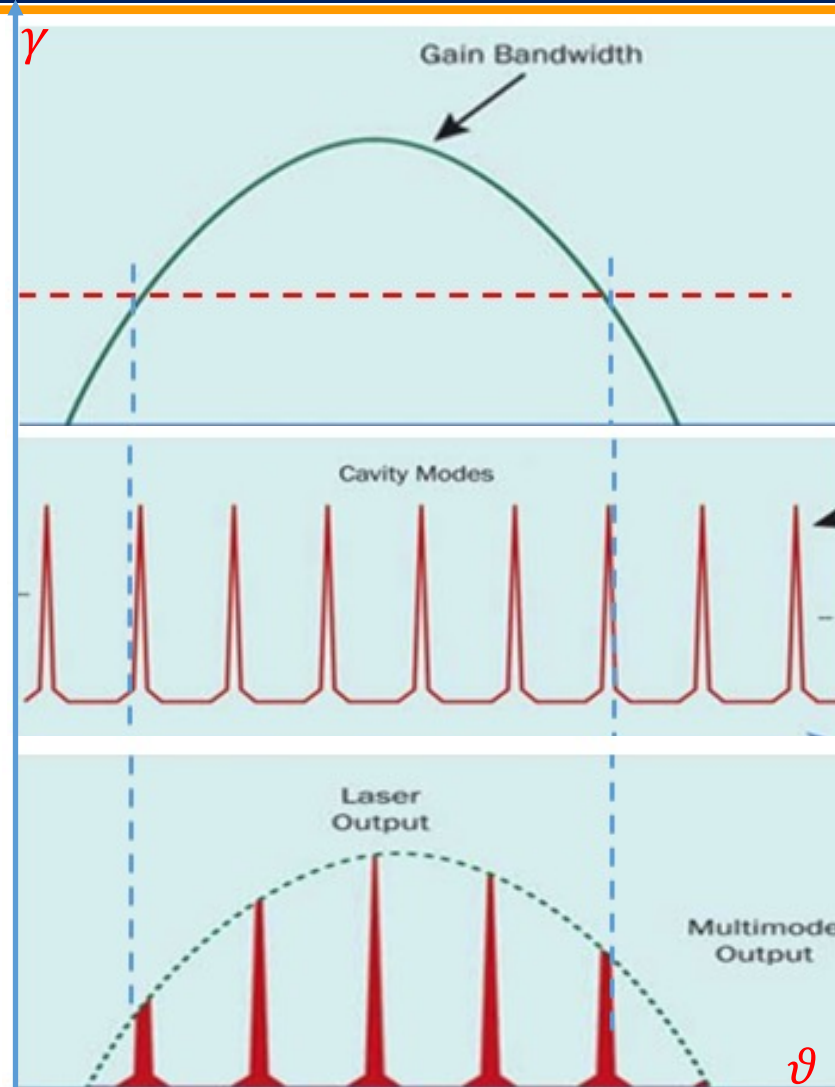


Laser Fundamentals:

Assignment # 01

1. How the short pulse can be obtained?
2. Discuss Gain saturation in the laser dynamics

Laser Fundamentals

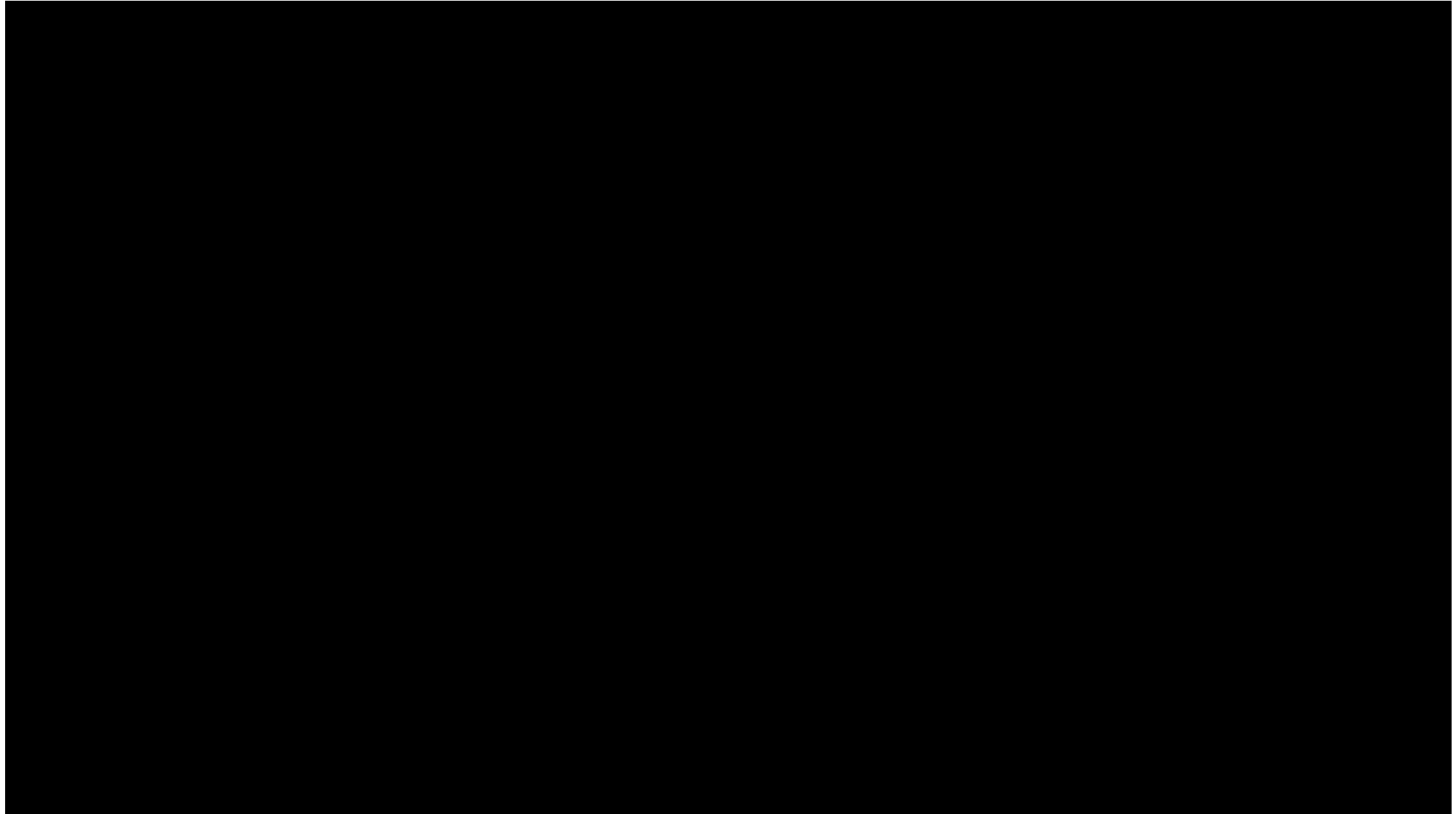


Gain plot due to the active medium pumping power

Longitudinal Mode defined by the resonator

Laser output power

Laser Fundamentals: **Summary video**



Laser Fundamentals

Any Queries



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